



Local Government Performance Assessment

Amuria District

(Vote Code: 565)

Assessment	Scores
Crosscutting Minimum Conditions	60%
Education Minimum Conditions	100%
Health Minimum Conditions	60%
Water & Environment Minimum Conditions	80%
Micro-scale Irrigation Minimum Conditions	0%
Crosscutting Performance Measures	67%
Educational Performance Measures	60%
Health Performance Measures	60%
Water & Environment Performance Measures	22%
Micro-scale Irrigation Performance Measures	0%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s): • If so: Score 4 or else 0 	<p>Infrastructure projects implemented using DDEG funding in the previous FY were functional and utilized as evidenced in the 3 sampled projects below:</p> <p>1. Burglar proofing of Finance Office at a cost of Shs.4,920,000 as on page 46 of Annual QBPR.</p> <p>The Finance office was more secure than before.</p> <p>2 .Theatre construction services at Amuria HCIV (now General Hospital) at a cost of Shs. 133,996,000 as on page 65 of Annual QBPR.</p> <p>The theatre was functional and utilized. It had at least 2 (two) operational beds than a single bed in the old theatre.</p> <p>Patients now come from Albetong, Abim, Soroti, Kapelebyong Katakwi for the newly constructed theatre.</p> <p>3. Construction maintenance and repair of the District Vaccine Store for Health department at a cost of Shs. 40,000,000 as on page 65 of Annual QBPR</p> <p>The refurbished vaccine store was functional and utilized. It was more secure than before.</p>	4
2	<p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> o by more than 10%: Score 3 o 5-10% increase: Score 2 o Below 5 % Score 0 	Not applicable	0

Service Delivery
Performance

Maximum 6 points on
this performance
measure

b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.

- If 100% the projects were completed : Score 3
- If 80-99%: Score 2
- If below 80%: 0

13 (Thirteen) planned DDEG investments projects for FY 2019/2020 were all implemented and completed representing 100% as listed below:

1. Purchase of the Public Address system for Administration department at a cost of Shs.14, 980,000 as on page 39 of Annual QBPR.
2. Procurement of three Motorcycles Yamaha YBR Model for Administration Department at a cost of Shs.26, 400,000 as on page 39 of Annual QBPR.
3. Burglar proofing of Finance Office at a cost of Shs.4, 920,000 as on page 46 of Annual QBPR.
4. Completion of payments for the motorcycle DT YAMAHA for Finance department at a cost of Shs. 3,000,000 as on page 46 of Annual QBPR.
5. Theatre construction services at Amuria HCIV (now General Hospital) at a cost of Shs. 133,996,000 as on page 65 of Annual QBPR.
6. Construction maintenance and repair of the District Vaccine Store for Health department at a cost of Shs. 40,000,000 as on page 65 of Annual QBPR
7. Procurement of three motorcycles YAMAHA Model for Education department at a cost of Shs. 12,909,000 as on page 68 of Annual QBPR.
8. Suply of Desks in Aojakitoi and Oriebai Primary Schools for Education department at a cost of Shs. 17,680,000 as on page 68 of Annual QBPR.
9. Construction of a pit latrine in Amucu Primary School for Education department at a cost of Shs. 20,000,000 as on page 68 of Annual QBPR.
10. Construction of a two classroom block at Aojakitoi Primary School for Education department at a cost of Shs.65, 000,000 as on page 68 of Annual QBPR.
11. Construction of a two classroom block in Oriebai Primary School for Education department at a cost of Shs.65, 000,000 as on page 68 of Annual QBPR.
12. Construction of a Parking Yard for Roads & Engineering at a cost of Shs.56, 481,000 as on page 77 of Annual QBPR.
13. MMI Water schemes for Water department at a cost of Shs.4, 000,000 as on page 82 of Annual QBPR.

Investment
Performance

Maximum 4 points on
this performance
measure

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:

Score 2 or else score 0.

The LG budgeted Shs. 464,366,000 under DDEG for the previous FY on 13 (thirteen) eligible projects/activities as per section 6.1.1 DDEG grant, budget and implantation guideline which were all implemented and completed as evidenced below:

1. Purchase of the Public Address system for Administration department at a cost of Shs.14, 980,000 as on page 39 of Annual QBPR.
2. Procurement of three Motorcycles Yamaha YBR Model for Administration Department at a cost of Shs.26, 400,000 as on page 39 of Annual QBPR.
3. Burglar proofing of Finance Office at a cost of Shs.4, 920,000 as on page 46 of Annual QBPR.
4. Completion of payments for the motorcycle DT YAMAHA for Finance department at a cost of Shs. 3,000,000 as on page 46 of Annual QBPR.
5. Theatre construction services at Amuria HCIV (now General Hospital) at a cost of Shs. 133,996,000 as on page 65 of Annual QBPR.
6. Construction maintenance and repair of the District Vaccine Store for Health department at a cost of Shs. 40,000,000 as on page 65 of Annual QBPR
7. Procurement of three motorcycles YAMAHA Model for Education department at a cost of Shs. 12,909,000 as on page 68 of Annual QBPR.
8. Supply of Desks in Aojakitoi and Oriebai Primary Schools for Education department at a cost of Shs. 17,680,000 as on page 68 of Annual QBPR.
9. Construction of a pit latrine in Amucu Primary School for Education department at a cost of Shs. 20,000,000 as on page 68 of Annual QBPR.
10. Construction of a two classroom block at Aojakitoi Primary School for Education department at a cost of Shs.65, 000,000 as on page 68 of Annual QBPR.
11. Construction of a two classroom block in Oriebai Primary School for Education department at a cost of Shs.65, 000,000 as on page 68 of Annual QBPR.
12. Construction of a Parking Yard for Roads & Engineering at a cost of Shs. 56, 481,000 as on page 77 of Annual QBPR.
13. MMI Water schemes for Water department at a cost of Shs.4, 000,000 as on page 82 of Annual QBPR.

Investment
Performance

Maximum 4 points on
this performance
measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0

Variations in the contract Price for DDEG projects were as follows:

1. Engineer's Estimate for construction of a 2 classroom Block at Aojakitoi Primary School was 60,000,000 and contractor's price was 59,804,672. Variation of Shs. 195,328 (0.32%) was within +20% of LG Engineer's estimate.

2.A 2 Classroom Block at Oriebai Primary school was estimated at 60,000,000.

Contractor's Price was 57,450,572

Variation of 2,549,428 (4.24%) was within 20% of the Engineer's estimate.

3.Construction of 2 Classroom Block at Asamuk P/S was estimated at 180,000,000.

Contractor's Price was 175,837,000

Variation of 4,163,000 (2.31%) was within +20% of the Engineer's estimate.

Performance Reporting and Performance Improvement

Accuracy of reported information

Maximum 4 points on this Performance Measure

a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,
score 2 or else score 0

Information on positions filled in LLGs as per minimum staffing standards was accurate evidenced from the three LLGs sampled. The sampled LLGs included: Kuju sub county, Willa and AmuriaTC.

According to the staff list obtained from HRM for Willa sub county had 10 staff who included Abuto sarah (Senior Asst CAO), Esanyu Olego Abdulai (Parish Chief), Isiku Elizabeth(Parish Chief) Ecaku James Opio (Agriculture officer), Akol Emmanuel (Assistant Fisheries) ,Ogweng Julius(Assistant Animal Husbandry), Epechu Martin (CDO), Ilukor Moses Okwi (Senior Accounts Assistant)

Staff list was compared with the daily attendance in the register book and the names were matching. TPC meetings held and minutes on file. For instance on 17th March 2020 a TPC meeting was held and the major item discussed was drafting a budget for presenting to the council under minute 5/003/2020.

Sample two was collected from Kuju Sub county. According to the staff list obtained from HRM, the Sub county had 12 staff namely(Opio Joseph (Senior Assistant CAO), Okello Pius (Parish Chief), AmugeGorrey(Parish Chief), Ecaku Gabriel (Parish Chief), Aselu Moses(Parish Chief), Opio Max (Parish Chief), Acharit Florence (Parish Chief), Kamini David (Agriculture Officer , Akol Emmanuel(Assistant Fisheries Officer) AdomeNamapaPlavia(Assistant Animal Husbandry Officer), Akol Jane Francis (CDO) and Okello Peter (Accounts Assistant)

Staff list was compared with the daily attendance in the register book and the names were matching. TPC meetings held and minutes on file such as a TPC meeting held on 12th March 2020 discussed update on the data collection for the sub county 5 year development plan under Minute 5/3/2020

Sample Three was collected from Amuria Town council county. According to the staff list obtained from HR Kalaki Town council had 29 staff namely; Onyait Ochan Silver (Town Clerk), AituErina (Assistant Town Clerk), Otelu Peter (Human Resources Officer), Apiding Doreen (Ag Town treasurer), Okiror John Robert (Health Assistant), NabukeraJamidah (Physical Planner),etc

Staff list was compared with the daily attendance in the register book and the names were matching. TPC meetings held and minutes on file.

All visited LLGs lacked filed communications from the CAO in regards to the DDEG releases for Financial year 2019/20.

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> • If 100 % in place: Score 2, else score 0. <p>Note: if there are no reports produced to review: Score 0</p>	<p>Construction of a Theatre at Amuria HCIV (now General Hospital) using DDEG at a cost of Shs. 133,996,000 was in place as evidenced by a status report with pictorial illustrations dated 23rd/5/2019 written by Ejim Charles the DDEG Focal Person.</p> <p>Construction maintenance and repair of the District Vaccine Store using DDEG at a cost of Shs. 40,000,000 was in place as evidenced by a pictorial desk appraisal report dated 24th/5/2020 prepared by Ejim Charles the DDEG Focal Person.</p> <p>Construction of the Parking Yard using DDEG at a cost of Shs. 56,481,000 was in place as evidenced by a pictorial status report dated 24th/5/2019 prepared by Ejimu Charles DDEG Focal Person.</p> <p>Additional evidence was a Joint Monitoring report dated 2nd/6/2020 in which the renovated District store and the completed theatre at Amuria General Hospital were also covered.</p>	2
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;</p> <p>If there is no difference in the assessment results of the LG and national assessment in all LLGs</p> <p>score 4 or else 0</p>	Not applicable	0
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.</p> <p>Score: 2 or else score 0</p>	Not applicable	0
5	<p>Reporting and Performance Improvement</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:</p> <p>Score 2 or else score 0</p>	Not applicable	0

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>At the time of assessment the LG had not yet submitted the staffing requirements for the coming FY 2021/22 to the MoPS and MoFPED.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>The DLG had a staff register in place in which the staff signed in and out . From this register the HRM obtained the data, analyzed it and tracked staff attendance.</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>6 out of 9 HoDs (both substantive and caretaking) were appraised evidenced by the signed performance contracts found on file.</p> <ul style="list-style-type: none"> • Okwir Patrick assigned CFO vide assignment letter dated 19th September 2019 reference CR/ADLG/156/6 performance report signed on 30th July 2020 • Okwi Nick assigned DHO vide assignment letter dated 26th June 2020 reference CR/ADLG/156/6 performance report signed on 30th July 2020 • Eonya Julius Eلول assigned Dsitric Engineer vide assignment letter dated 26th June 2020 reference 5/ADLG/156/6 performance report signed on 8th July 2020 • Cheli Peter substantive District Production officer appointed vide appointment Letter dated 19th September 2019 referenced CR/ADLG/156/2 minute no. 59/ADSC/2019/30 performance report signed on 30th July 2020 • Akelem Emmanuel substantive District Planner appointed vide appointment Letter dated 27th May 2008 referenced CR/ADLG/156/1 minute no. 71/2008(21) performance report signed on 30th July 2020 • Akello Rhoda assigned DCDO vide assignment letter dated 15th July 2019 reference CR/ADLG/153/1 performance report signed on 31ST July 2020 	0

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>The LG administrative rewards and sanctions committee was functional and implemented administrative rewards and sanctions on time for instance some staff were submitted to the committee on 6th may 2020 and the meeting that handled their issues sat from 15th to 19th June 2020</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The Consultative Committee for handling staff grievances had not been set up by the time of assessment.</p>	0
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>All newly recruited staff accessed the salary payroll in not later than two months</p> <p>13 staffs were newly recruited in DSC meeting held from 15 to 19 June 2020 under minute No. 5/ADSC/JUNE/2020(i)-(iv)</p> <p>For instance</p> <p>Kabonesa Margret a stenographer secretary was appointed vide appointment letter dated 26th June 2020 DSC Minute 5/ADSC/JUNE 2020(IV) was posted on 13th July 2020. Her first pay slip dated 28th Aug 2020 indicated that that she accessed the payroll not later than two months.</p> <p>Ongora Geoffrey Parish Chief was appointed vide appointment letter dated 26th June 2020 DSC Minute 5/ADSC/JUNE 2020(III) was posted on 13th July 2020. His first pay slip dated 28th Aug 2020 indicated that that she accessed the payroll not later than two months.</p> <p>Epech Martin was appointed vide appointment letter dated 26th June 2020 DSC Minute 5/ADSC/JUNE 2020(II) was posted on 13th July 2020. His first pay slip dated 28th Aug 2020 indicated that that she accessed the payroll not later than two months.</p>	1

Pension Payroll management	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:	Only 8 out of 12 pensioners accessed the pension payroll not later than two months after retirement.
Maximum 1 point on this Performance Measure or else score 0	Score 1.	Names of Pensioners who had not accessed the pension payroll within two months were as follows; Ekwasu Timothy Apede Gabriel Ecodu Epulu John Micheal Ongacar Benedict This delay was caused by a number of reasons such as delay of file verification ,contradicting date of birth and delayed regularisation of appointment

Management, Monitoring and Supervision of Services.

10

2

Effective Planning, Budgeting and Transfer of Funds for Service Delivery	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:	The budget for DDEG to LLGs for FY 2019/2020 was Shs. 931,077,874. Direct transfers were executed to LLGs as evidenced below: Q1: 310,359,291 Q2: 310,359,291 Q3: 310,359,291
Maximum 6 points on this Performance Measure	Score 2 or else score 0	

10

2

Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:	The LG timely warranted DDEG transfers to LLGs for FY 2019/2020 as evidenced below: Q1 DDEG of Shs. 302,525,442 received on 10th/8/2019 was warranted on 13th/8/2019 within 3 working days. Q2 DDEG of Shs.302,525,442 received on 7th/10/2019 was warranted on 9th/10/2019 Q3 DDEG of Shs. 302,525,442 received on 8th/1/2020 was warranted on 8th/2020.
Maximum 6 points on this Performance Measure	Score: 2 or else score 0	

10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery	c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter:	from the sampled LLG (Kuju sub county, Willa and AmuriaTC. there was no evidence presented that the LG communicated to LLGs on DDEG releases.	0
	Maximum 6 points on this Performance Measure	Score 2 or else score 0	From the sampled LG, receipt of DDEG was acknowledged. Below are the dates on which funds were received.	
			These DDEG funds were wire transferred in three quarters to the LLGs as evidenced from the sampled LLG for instance	
			At Otuboi Sub county	
			• for quarter 1 the funds were received on 17th September 2019.	
			• for quarter 2 the LLGs received the funds) on 11th day of November	
			• for quarter 3 the LLGs received the funds on 3rd day of Feb 2020	
			only three releases from MoFPED.	
11	Routine oversight and monitoring	a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:	The LLGs were supervised and mentored at least on quarterly basis as evidenced in the reports below:	2
	Maximum 4 points on this Performance Measure	Score 2 or else score 0	In Q1 the LLGs were advised to ensure that the planning process and draft plan complied with the revised guidelines as contained in the revised Local Government Planning Guidelines from National Planning Authority. The requisite data collection tools were provided in soft copy including the revised planning guidelines for use by the CDO and Technical team in LLGs as per Mentoring report by the District Planner dated 3rd/10/2019.	
			In Q2 LLGs of Kuju, Asamuk, Apedur, Willa, Wera, Aberilela, were supervised and mentored on the preparation of the 5-Year DP as per mentoring report by the District Planner dated 12th/12/2019.	
			In Q3 & Q4 the departments of Administration and Finance & Production were facilitated in the formulation of sector plans for demonstration purposes and left the rest for the LLG teams to complete.	
11	Routine oversight and monitoring	b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:	Support supervision and monitoring visits were discussed in the DTPC meetings like that of 18th/3/2020, 17th/6/2020 and made recommendations such as need to avail LLGs with soft and hard copies of planning tools, need to recruit CDOs and deploy them in Sub counties without substantive ones and need to demonstrate to LLGs the development planning at sector and whole LG level.	2
	Maximum 4 points on this Performance Measure	Score 2 or else score 0		

12	<p>Planning and budgeting for investments is conducted effectively</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p>	<p>The District maintained an updated Assets Register generated from IFMIS but the format of which was contrary to the Local Government Accounting Manual 2007 as on pages 167-168.</p>	2
	<p>Maximum 12 points on this Performance Measure</p>	<p>Score 2 or else score 0</p>	<p>The IFMIS format Assets Register had the following assets:</p>	
		<p>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</p>	<p>Motor Vehicles/Motorcycles, Plants & Machinery, Land and General assets like Computers, Photocopiers, furniture & fittings.</p>	
12	<p>Planning and budgeting for investments is conducted effectively</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p>	<p>The LG carried out an Annual Board of Survey for FY 2019/2020 and the BOS report recommended boarding off a number of assets like Motor cycles Honda XL UAC 449-Y, Honda XL 125L, Laptop DPAC, Desk top Computer Dell as on page 32 of the report.</p>	0
	<p>Maximum 12 points on this Performance Measure</p>	<p>Score 1 or else 0</p>	<p>However, no action had been taken or was seen to be taking place on implementing the BOS recommendations as at the time of assessment.</p>	
12	<p>Planning and budgeting for investments is conducted effectively</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The PPC submitted the recommended 4 (four) sets of minutes to MoHLLUD as evidenced below:</p>	2
	<p>Maximum 12 points on this Performance Measure</p>		<p>Minutes of 24th/9/2019, 18th/2/2020, 25th/5/2020, and 29th/6/2020 all Referenced CR/ADLG/212/19</p>	
			<p>However, the District did not have a fully constituted Physical Planning Committee. It had 12 (twelve) eligible members instead of 13 as required under PPA 2010 section 9.</p>	
			<p>The missing member was Physical Planner in private practice that they had failed to outsource due limited resources as the budgetary allocations to the PPC were only Shs.3, 703,000 as on page 3.</p>	
			<p>The LG did not have Physical Development Plan but had a Registration book for all the submissions for new investments.</p>	

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

d. For DDEG financed projects; Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the LG Development Plan; (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:

Score 2 or else score 0

The 13 prioritized projects under listed under DDEG funding as per approved budget for FY 2019/2020 were subjected to desk appraisal as per appraisal reports dated 24th/5/2020

1. Construction of a parking yard at a cost Shs. 56,481,000.
2. Construction of a 2 classroom block at Aojakitoi P/s at a cost of Shs. 65,000,000.
3. Burglar proofing of Finance Office at a cost of Shs.4, 920,000.
4. Construction, maintenance & repair of District Vaccine Store at a cost of Shs.40, 000,000.
5. Construction of a 2 classroom block in Oriebai P/s at a cost of Shs.65, 000,000.
6. Construction of a pit latrine in Amucu P/s at a cost of Shs.20, 000,000.
7. Supply of desks in Aoakitoi and Oriebai P/s at a cost of Shs.17, 680,000.
8. Procurement of three motorcycles YAMAHA Model at a cost of Shs.12, 909,000.
9. Theatre construction services at Amuria HCIV (now General Hospital) at a cost of Shs.133, 996,000.
10. Completion of payments for the Motorcycle DT YAMAHA at a cost of Shs. 3,000,000.
11. Purchase of Public Address System at a cost of Shs.14, 980,000.
12. Procurement of three motorcycles YAMAHA YBR MODEL at a cost of Shs.26, 400,000.
13. MMI Water Schemes at a cost of Shs.4, 000,000.

However, the desk appraisal report was silent about whether the prioritized projects were derived from the Development Plan, or eligibility for expenditure. It only referred to lessons learnt, projects status, challenges and recommendations.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The District Appraisal Team comprising of DIS, DHO,CDO, DCO, SACAO,SO and Senior Planner conducted field appraisal on 14th/11/2020 on the following investment projects:</p> <ol style="list-style-type: none"> 1. Construction of a parking yard. 2. Construction of a 2classroom block at Aojakitoi P/s. 3.Burglar proofing of Finance Office 4. Construction, maintenance & repair of District Vaccine Store. 5. Construction of a 2 classroom block in Oriebai P/s. 6. Construction of a pit latrine in Amucu P/s. as evidenced by the filled Field Appraisal of Infrastructure Reports dated 14th/11/2020. <p>The appraisal criteria were targeted technical feasibility, Environmental and Social safeguards as well as Customized design for the projects of the previous FY.</p>	2
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The project profiles as per the under listed sampled projects were discussed in the DTTC of 19/11/2019 Agenda. vi Min. 06/11/2019:</p> <ol style="list-style-type: none"> 1. Construction, maintenance & repair of District Vaccine Store. 2. Construction of a 2 classroom block in Oriebai P/s. 3. Construction of a pit latrine in Amucu P/s. 	1
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG had screened for environmental and social risks signed by Environmental Officer and CDO as mentioned below;</p> <p>1. From the screening form dated 23rd March 2020, the LG screened the project of the Drilling of Borehole in Golokwara in Golokwara village,</p> <p>Sensitization of workers on HIV/AIDS, To provide workers with safety gears, Proper labeling of the sign posts, Fencing of the site, Clearing of the site.</p> <p>2. From the screening form dated 30th March 2020, the LG screened the project of construction of two classroom blocks and store in Oriebai in Oriebai village, Oriebai Parish in Orungo S/C.</p> <p>Clearing and leveling of the site,</p> <p>Proper labeling of the sign posts.</p> <p>To remove debris from the site.</p> <p>Sensitization of the workers on HIV/AIDS</p> <p>Planting of the roved trees and vegetation.</p> <p>3. From the screening form dated 30th April 2020, the LG screened the project of the construction of Out Patient Department in Amuria Hospital in Medical Cell, Alira Ward in Amuria T/C</p> <p>Sensitization of workers on HIV/AIDS,</p> <p>Revalidation and leveling of the site,</p> <p>To remove the debris from the site,</p> <p>Proper labeling of sign posts at the site</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p>DLG departmental submissions to PDU were made on 28/04/2020 under :CC/APR/2020/2021/MIN/15 incorporated in the LG current working Procurement Work</p> <p>Plan FY 2020/2021 appearing on pages 1-2.</p>	1

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0</p>	<p>The DDEG funded Projects were recorded and duly approved by District Contracts Committee under Minute CC/APR/2020/2021/MIN/15 dated 28th April, 2020.</p> <p>Such Projects were sampled as follows:</p> <ol style="list-style-type: none"> 1. Construction of a 2 classroom Block at Otubet Primary School. 2. Construction of a 2 classroom Block with an office and Store in Ogolai Primary School. 3. Construction of a 5 stance Latrine at Olelai Wera Primary School. 	1
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines: Score 1 or else 0</p>	<p>The PIT was not properly established as it only comprised of the project Manger</p> <p>The CAO had appointed District Engineer, Mr.Eonya Francis Project Manager on 11/10/2019 Ref letter CR/119/2 for additional tasks involving other construction works.</p>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer: Score 1 or else score 0</p>	<p>LG had evidence of Projects implemented using DDEG funds having followed the standard technical designs provided by the LG Engineer.E.g</p> <ol style="list-style-type: none"> 1. A 2 Classroom Block constructed in Oriebai Primary School Ref: AMUN565/Wrks/19-20/00002 was certified by Engineer in completion report dated 15/02/2020. 2. Construction of a Classroom Block at Aojakitoi Primary School Ref: AMUN565/Wrks/19-20/00005 was certified by DLG Engineer in a completion report dated 24/02/2020. 3. Construction of a classroom Block at Asamuk Primary School Ref: AMUN 565/Wrks/2019/2020/00017 was certified by Engineer in a completion report dated 24/02/2020. 	1

13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	The following LG projects implemented had close supervision from District Engineer and other relevant technical officers: 1. Supervision report on progress of construction at Aojakitoi Primary School 2. Certification of work on construction of 2 classroom Block in Oriebai Primary School was issued by Engineer on 24/05/2020. 3. Certification of work on a 2 classroom Block constructed in Asamuk Primary School was issued by Engineer on 24/05/2020.	2
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement): Score 1 or else score 0	The LG District Engineer Prepared Progress reports as follows: Number 1 issued on 25/10/2019 and Number 2 issued on 20/01/2020 for certification of work on Oriebai Primary School. Subsequent payment was initiated and timely paid on 21/03/2020 based on final observation report issued on 21/02/2020. Renovation of Aojakitoi Primary School School report Was issued on 15/06/2020.	1
13	Procurement, contract management/execution Maximum 8 points on this Performance Measure	g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law: Score 1 or else 0	There was evidence that DLG had a complete procurement file in place for each contract as required by the PPDA Law. File Ref: AMUN/PROC/01/01/19-20, had the following among other contracts sampled in the register: 1. CC approved construction of a Classroom Block at Aojakitoi Primary School by CC dated 21/10/2019 was under min: 04/08/2019/DCC. 2. CC approved construction of 2 classroom Block at Oriebai Primary School by CC dated 21/10/2019 was under min: 04/08/2019/DCC. 3. CC construction of a classroom Block at Asamuk Primary School by CC dated 21/10/2019 was under minute: 04/08/2019/DCC	1

Environment and Social Safeguards

14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant. Score: 2 or else score 0	The LG had designated a person to coordinate response to feed-back by the names of Ms Akello Rhoda SCDO through appointment letter dated 2nd July 2017	2
14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices. If so: Score 2 or else 0	The LG had Complains and Grievances Register and suggestion boxes in place which were used for Recording. investigating and responding to grievances named	2
14	Grievance redress mechanism operational. Maximum 5 points on this performance measure	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress. If so: Score 1 or else 0	the LG used suggestion boxes in order to enable the aggrieved parties know where to report and get redress	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	Environmental , social and climate change interventions were integrated in the Project profiles under situation analysis and in the approved budget for 2019/2020 under Natural Resources and Community Based Services departments on pages 56 and 59 respectively.	1
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management score 1 or else 0	The LG disseminated to LLGs the enhanced DDEG guidelines from 29th/4/2019 to 15th/5/2019 as per report on dissemination of DDEG Guidelines to LLGs dated 22nd/5/2020 Ref.CR/ADLG/167/1 prepared by the District Planner doubling as the DDEG Focal Point Person. The dissemination focused on an overview of the	1

15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	(For investments financed from the DDEG other than health, education, water, and irrigation): c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary: score 3 or else score 0	There was evidence of construction of the District Parking Yard in Obuku cell at the District Head Quarter. Land opened for tree planting in selected institution at cost 350,000/= page 118,	3
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	d. Examples of projects with costing of the additional impact from climate change. Score 3 or else score 0	There was no evidence that the LG had projects with costing of the additional impact from climate change	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	e. Evidence that all projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: Score 1 or else score 0	There was no evidence that all the projects implemented by the LG had proof of ownership of land for the previous FY.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports: Score 1 or else score 0	There was no evidence that the LG had environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs.	0
15	Safeguards for service delivery of investments effectively handled. Maximum 11 points on this performance measure	g. Evidence that E&S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects: Score 1 or else score 0	For the three sampled projects of the previous FY only two projects had Certification forms which were signed by Environmental Officer and CDO.	0

Financial management

16	LG makes monthly Bank reconciliations	a. Evidence that the LG makes monthly bank reconciliations and	The LG made monthly bank reconciliation statements on all it bank accounts as evidenced on a sample of 3	2
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Maximum 2 points on this Performance Measure

are up to-date at the point of time of the assessment:

Score 2 or else score 0

below:

1. Amuria General Fund Account:

General Ledger Cash Account Balance as at 30th/6/2019 was Shs. (180,516,379)

Adjusted Bang Statement Balance was Shs. (180,913,879).

General Ledger Cash Account Balance as at 31st/7/2020 was Shs. 49,148,103

Adjusted Bank Statement Balance as at 31st/7/2020 was Shs. 52,945,103.

General Ledger Cash Account Balance as at 31st/8/2020 was Shs. 264,830,247

Adjusted Bank Statement Balance as at 31st/8/2020 was Shs. 228,453,579.

General Ledger Cash Account Balance as at 30th/9//2020 was Shs. 596,609,231

Adjusted Bank Statement Balance as at 30th/9/2020 was Shs. 559,685,313.

General Ledger Cash Account Balance as at 31st/10/2020 was Shs. 601,719,731

Adjusted Bank Statement Balance as at 31st/10/2020 was Shs. 559,685,313

2. Amuria District Treasury S I:

General Ledger Cash Account Balance as at 30th/6/2020 was Shs. 0

Adjusted Bank Statement Balance as at 30th/6/2020 was Shs. 0

General Ledger Cash Account Balance as at 31st/7/2020 was Shs. 0

Adjusted Bank Statement Balance as at 31st/7/2020 was Shs. 0

General Ledger Cash Account Balance as at 31st/8/2020 was Shs. (1,170,247,649)

Adjusted Bank Statement Balance as at 31st/8/2020 was Shs.

(2,748,574,114)

General Ledger Cash Account Balance as at 30th/9/2020 was Shs. (2,520,101,558)

Adjusted Bank Statement Balance as at 30th/9/2020 was Shs.

(4,165,602,512)

2.General Ledger Cash Account Balance as at 31st/10/2020 was Shs. (650,000)

Adjusted Bank Statement Balance as at 31st/10/2020 was Shs.

17	LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure	a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY. Score 2 or else score 0	The LG produced all the quarterly internal audit reports for the previous FY and submitted to Council with copies to relevant authorities including LGPAC as evidenced below: 1st quarterly internal audit report (unreferenced) was submitted to District Chairperson on 17th/2/2020. 2nd quarterly internal audit report (unreferenced) was submitted to District Chairperson on 1st/7/2020. 3rd quarterly internal audit report (unreferenced) was submitted to District Chairperson on 20th/7/2020. 4th quarterly internal audit report Ref.CR/ADLG/116/1 was submitted to District Chairperson on 20th/7/2020.	2
17	LG executes the Internal Audit function in accordance with the LGA Section 90 Maximum 4 points on this performance measure	b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports. Score 1 or else score 0	The status of implementation of internal audit findings for the previous FY were duly provided to council and LGPAC as evidenced below: 1st quarterly internal audit report FY 2019/2020 submitted to District Chairperson and LGPAC on 17th/2/2020 captured status of implementation (on page 12) on queries raised in 4th quarterly internal audit report FY 2018/2019 such as Capacity Building, Unaccounted for funds. 2nd quarterly internal audit report FY 2019/2020 submitted to District Chairperson on 1st/7/2020 and to LGPAC on 30th/6/2020 captured status of implementation (on page 13) on queries raised in 1st quarterly internal audit report such as Failure to remit Local Revenue by the contracted firms, Unaccounted for funds. 3rd quarterly internal audit report FY 2019/2020 submitted to District Chairperson on 20th/7/2020 and to LGPAC on 22nd/7/2020 captured status of implementation (on page 18) on queries raised in 2nd quarterly internal audit report such as Failure to remit Local Revenue by the contracted firms. 4th quarterly internal audit report FY 2019/2020 submitted to District Chairperson and LGPAC on 21st/9/2020 captured status of implementation (on page 15) on queries raised in 3rd quarterly internal audit report such as Disposal of assets in Weira Sc, Government Vehicles in garages.	1

LG executes the Internal Audit function in accordance with the LGA Section 90

Maximum 4 points on this performance measure

c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:

Score 1 or else score 0

All the quarterly internal audit reports for the previous FY were submitted to both CAO and LGPAC on the same day as evidenced below:

1st quarterly internal audit report submitted to CAO and LGPAC on 17th/2/2020.

2nd quarterly internal audit report submitted to CAO and LGPAC on 30th/6/2020.

3rd quarterly internal audit report submitted to CAO and LGPAC on 21st/7/2020.

4th quarterly internal audit report submitted to CAO and LGPAC on 21st/9/2020.

Queries raised in all the quarterly internal audit reports for the previous FY were reviewed and followed-up by LG PAC as evidenced by some of their recommendations below:

LG PAC recommendations on unaccounted for funds in 1st quarterly internal audit report were; This query was subsequently dropped after verifying the documents presented.

LG PAC recommendations on unaccounted for funds in 2nd quarterly internal audit report was; The committee verified the accountabilities and was satisfied with the Internal Audit Certification and this was subsequently dropped.

Local Revenues

LG has collected local revenues as per budget (collection ratio)

Maximum 2 points on this performance measure

a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.

The LG Local Revenue budget in FY 2019/2020 was Shs. 567,545,000 as on page 4 of the LG Budget Estimates.

Actual local revenue collected was Shs 321,410,973 as on page 17 of the draft AFS for FY 2019/2020.

The budget realization was -43.4%.

Workings;

$321,410,973/567,545,000 \times 100 = 56.6\% - 100\% = -43.4\%$ above the recommended maximum of -10%.

This trend was attributed to non-remittance of collected revenue by contracted firms coupled with closure of markets during COVID-19 Pandemic.

The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)

Maximum 2 points on this Performance Measure.

a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY

- If more than 10 %: score 2.
- If the increase is from 5% -10 %: score 1.
- If the increase is less than 5 %: score 0.

Actual Local revenue collected in FY 2019/2020 was Shs.321,410,973 as on page 17 of the draft AFS whereas Shs. 195,971,537 was collected in last FY but one i.e. 2018/2019 registering an increase of Shs. 125,448,436 representing 39%.

Workings:

$$125,448,436/321,410,973 * 100 = 39\%$$

The increase was attributed to effective implementation of the District Revised Local Revenue Enhancement Plan for FYs 2015/2016-2019/2020 which was approved on 25th/9/2019.

Local revenue administration, allocation, and transparency

Maximum 2 points on this performance measure.

a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0

The LG remitted mandatory LLGs share of local revenues during the previous FY as evidenced below:

1. Funds transfer to LLGS of Shs 52,430,125 remitted through the District Local Revenue Collection Account to Bank of Uganda made on 30th/3/2020 as evidenced by CAO transfer Circular to LLGs dated 11th/2/2020 Ref. CR/ADLG and corresponding Transfer vouchers:

Amuria TC: 100%= 40,000,000

Wera Sc: 65%= 7,501,652

Ogolai Sc: 65%= 4,928,473

Total 52,430,125

2. Local Service Tax collected from 20th/8/2019 to 6th/9/2019 totaling to Shs 15,706,250 of which Shs 8,500,000 was shared with LLGs as evidenced by the allocation derived from the "IFMIS GOU: SALARY INVOICE REGISTER" and corresponding transfer vouchers to LLGs.

1. Abarilela Sc: 700,000

2. Akeriau Sc: 700,000

3. Apeduru Sc: 700,000

4. Asamuk Sc: 700,000

5. Amuria Sc: 700,000

5. Amuria TC: 1,500,000

6. Kuju Sc: 700,000

7. Morongatuny Sc: 700,000

8. Ogolai Sc: 700,000

9. Orungo Sc: 700,000

10. Wera Sc: 700,000

11. Willa Sc. 700,000

TOTAL 8,500,000

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0</p>	<p>DLG had Published information regarding award of contracts as follows:</p> <ol style="list-style-type: none"> 1. Construction of a classroom Block in Aojakitoi Primary School by Amen Enterprises Ltd Construction Ltd at Shs.59, 804,672. 2. Construction of a 2 Classroom Block in Oriebai Primary School by ParadymLtd at Shs. 59,450,572. 3. Construction of a 2 Classroom Block at Asamuk Primary School by Clabos (U) Ltd at Shs.175, 837,000 Were all published on the Notice board dated 8/07/2019. 	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>Evidence availed was on Mock assessment which was shared in the DTTC meeting of 17th/9/2019 Agenda 4 Min.04/09/2019.</p> <p>Evidence of having publicized National LGPA results for the previous FY with its implication was no availed at the time of assessment.</p>	0
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>Discussions with the public to provide feed-back on status of activity implementation by council was reportedly done but the LG confessed that no activity reports to justify it were prepared.</p>	0
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The tax rates/charges for Local Government FY 2020/2021 were circularized to Amuria TC and all Senior Assistant Secretaries on 21st/10/2020</p> <p>In addition, the CFO conducted the formation of a District local revenue task force from 5th October to 23rd October 2019.</p> <p>This activity involved sensitization of tax payers, assessment revenue assessment, collection, enforcement and accountability of funds collected.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared an IGG report which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>The LG did not have any cases of alleged fraud and corruption in the previous FY thus there was no IGG report(s) on investigations.</p>	1

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 4 • Between 1 and 5% score 2 • No improvement score 0 	<p>The LG PLE pass rate improved between the previous school year but one and the previous year.</p> <p>2018 UPE results for 1, 2, 3 grades. $87+1,075+1,113=2,275/3,711*100=61.3\%$</p> <p>2019 UPE results for 1, 2, 3 grades. $81+1,394+1,186=2,661/3,669*100=72.5\%$</p> <p>$72.5\%-61.3\%=11.2\%$ improvement.</p>	4
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 3 • Between 1 and 5% score 2 • No improvement score 0 	<p>The LG UCE pass rate improved between the previous school year but one and the previous year.</p> <p>2018 UCE results for 1, 2, 3 grades. $48+296+485=829/1,799*100=46\%$</p> <p>2019 UCE results for 1, 2, 3 grades. $28+267+494=789/1,667*100=47.3\%$</p> <p>$47.3\%-46\%=1.3\%$</p>	2
2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> • If improvement by more than 5% score 2 • Between 1 and 5% score 1 • No improvement score 0 	N/A	0

Investment
Performance: The LG
has managed education
projects as per
guidelines

Maximum 8 points on
this performance
measure

a) If the education development grant has
been used on eligible activities as defined
in the sector guidelines: score 2; Else
score 0

Education development grant was used on
eligible activities as defined in the sector
guidelines.

Local Government Guidelines for Education
Sector – May 2019 Page 12, under area
(Development grant item code 321470), the
activities eligible for the development grant
under education are listed. For instance:

- construction and rehabilitation of existing
infrastructure and facilities including supply
of furniture.

- New school constructions

- construction of seed secondary schools,
etc.

Amuriai DLG 4th Quarterly Performance
Report FY 2019/2020, showed construction
of classrooms, construction of pit latrines
and supply of desks.

4th Quarter report showed Output: 078180 –
Classroom construction and rehabilitation.

(4) classroom constructed: 2 in Awojakitoi
P/S and 2 in Ariebai p/s.

Output 078181 – Latrine construction and
Rehabilitation

(10) 10 pit latrine stances constructed; 5 at
Amucu P/S and 5 at Abarilela P/S.

Output 078183 – Provision of furniture to
primary schools.

(5) primary schools received furniture;

Apeduru P/S

Agereger P/S

Oriebai P/S

Awojakitoi P/S

Orungo P/S.

Investment
Performance: The LG
has managed education
projects as per
guidelines

Maximum 8 points on
this performance
measure

b) If the DEO, Environment Officer and
CDO certified works on Education
construction projects implemented in the
previous FY before the LG made payments
to the contractors score 2 or else score 0

The DEO certified works on Education
construction projects implemented in
2019/2020 before the LG made payments to
the contractors.

Amuria DLG Agreement for an
Admeasurement dated 29th /1/2020, No.
AMUN/565/Wrks/2019/2020/00010,
contractor: MsFrahahAmuria Enterprises
Ltd, construction of a five stance pit latrine at
Abarilela P/S, worth UGX 19,710,000.
Signed by Martin Kiplangat– CAO, Eonya
Elolu Julius – District Engineer, and

AcomKelen – DEO.

Amuria DLG Agreement for an Admeasurement contract, dated 29th /1/2020. No. AMUN/565/WRKS/2019-2020/00009, worth UGX 19,983,195 to Ms FAOCA ENTERPRISES LTD. Construction of a five stance pit latrine at Amucu P/S. signed by Martin Kiplangat - CAO, Eonya Elola – District Engineer and Contractor Ocung Abdala.

Amuria DLG Agreement for an Admeasurement dated 18th /11/2019, No. AMUN/565/WRKS/19-20/00007. To MsParadym Investment Limited, Construction of a two classroom block with office and store at Oriebai P/S, worth UGX 57,450.572. Signed by Martin Kiplangat - CAO, Eonya Elola – District Engineer and Acom Kelen – DEO and Contractor – Opito Sam.

Amuria DLG Agreement for an Admeasurement contract, dated 18th /11/2019, No. AMUN/565/WRKS/19-20/00006 to MsAmen Enterprises Hard wares Ltd, Construction of a two classroom block with office and store at Aojakitoi P/S, worth UGX 59,804,672. Signed by Martin Kiplangat - CAO, Eonya Elola – District Engineer and DEO – AcomKelen.

Voucher No, 29804321 dated 5th /6/20 to MsFrahahAmuria Enterprises Ltd worth UGX 18,240,102 for the construction of a five stance pit latrine at Abarilele P/S, certificate No.1 dated 18th /5/2020 worth UGX 18, 240,10. signed by District Engineer – Eonya Elola Julius, CAO – Martin Kiplangat, DEO – AcomKelen and District Internal Audit – Osuku Julius.

Voucher No. 30190179 dated 23rd/6/2020 to Ms FAOCA Enterprises Ltd, worth UGX 18,044,923 for the construction of a five stance pit latrine at Amucu P/S. Certificate No.1 dated 8st /6/2020 worth UGX 18,044,923. Signed by District Engineer – Eonya Elola Julius, CAO – Martin Kiplangat, DEO – AcomKelen and District Internal Audit – Osuku Julius

Voucher No.30190277 dated 23rd/ /6/2020 to MsParadym Investments Ltd worth UGX 40,743,858 for the construction of a two classroom block with a store and an office at Oriebai P/S. Certificate No.1 dated 18th/6/2020 worth UGX 40,743,858.Signed by District Engineer – Eonya Elola Julius, CAO – Martin Kiplangat, DEO – AcomKelen and District Internal Audit – Osuku Julius

Voucher No, 30190156 dated 23rd /1/2020 to Ms Amen Enterprises Hardwares Ltd worth UGX 44,780,650 for the construction

of a two classroom block with an office and a store in Awojakitoi P/S. certificate No.1 dated 18th /6/2020 worth 44,780,650. Signed by District Engineer – Eonya Elola Julius, CAO – Martin Kiplangat, DEO – AcomKelen and District Internal Audit – Osuku Julius.

However there was no evidence presented to indicate that the Environment Officer and CDO also certified works on Education construction projects.

3

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

1. Construction of a classroom Block at Aojakitoi Primary School was estimated at 60,000,000 and contractor's Price was 59,804,672

Variation of 195,348 was within +20% of Engineer's estimate.

2. Construction of a 2 classroom Block at Oriebai Primary

School was estimated at 60,000,000 and Contractor's Price was 68,903,100.

Variation of 549,428 was within +20% of Engineer's estimate.

3. Construction of a classroom Block and Latrine at Asamuk Primary School was estimated at 180,000,000 and Contractor's Price was 175,857,000.

Variation of 4,143,000 was within +20% of Engineer's estimate.

2

3

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 – 99% score 1
- Below 80% score 0

The certificates of completion for Education projects were issued by DLG Engineer as follows:

1. Construction of a classroom Block at Aojakitoi Primary School by Amen Enterprises (U) Ltd. Costed 59,804,672, and payment approval dated 11/04/2020 was made by CAO.

2. Construction of Oriebai Primary School by Paradym Ltd. Costed 59,450,572 and was issued completion certificated dated 15/06/2020.

3. Construction of a Classroom Block at Asamuk Primary School by Clabos (U) Ltd. costed Shs.175,837,000 and was issued completion certificate dated 15/06/2020

2

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> • If 100%: score 3 • If 80 - 99%: score 2 • If 70 – 79% score: 1 • Below 70% score 0 	<p>The ceiling/wage for primary school teachers was 1011 and those in post were 696.</p> <p>Giving a percentage of 69%</p>	0
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> • If above 70% score: 3 • If between 60 - 69%, score: 2 • If between 50 - 59%, score: 1 • Below 50 score: 0 	<p>Schools in Amuria LG met basic requirements and minimum standards set out in the DES guidelines.</p> <p>The LG had a copy of the Basic Requirements and Minimum Standards for schools 2010.</p> <p>UPE – Asset Register 2019 showed 68 P/S that met the guideline. This indicated those that needed rehabilitation.</p> <p>$68/68 \times 100 = 100\%$</p> <p>This was consistent from 2018.</p> <p>USE – Asset Register 2019 showed 7 schools that met the guideline.</p> <p>$7/7 \times 100 = 100\%$.</p> <p>This was consistent from 2018.</p> <p>The newly constructed classrooms were not included in the register because they are yet to be commissioned.</p>	3

Performance Reporting and Performance Improvement

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2
- Else score: 0

There was evidence that LG had accurately reported on teachers' deployment.

In Amuria DLG, there was staff list displayed on the notice board of the DEO's office with 696 of total number of teachers.

AmuriaDLG Education and Sports Department School Staff List 2020, showed the deployment for the following sampled schools:

1. Kuju P/S - 13
2. Abarilela P/S - 11
3. Abuket P/S - 11

3 same schools were visited and found the following:

1. Kuju P/S had a staff list of 13(9 male and 4 female) teachers deployed. This was the same in both records.

For further verification, EcibuFastino's personal file was picked. 'Lateral Transfer letter' dated 19th/1/2011. Transferred from Odukul P/S to Kuju P/S with effect from 19th/1/2011. Signed by Alfred Malinga – CAO Amuria.

Okopa Julius Transfer letter, dated 17th/1/2020, Ref. CR/ADLG/161/2. Transfer from Alamurei P/S to Kuju P/S. signed by Wamono Paul - CAO.

2. Abarilela P/S had 11 (7male and 4 female) teachers deployed as seen in their staff list displayed. This was same reflection from both records.

Further verification,

Orima Daniel 'Lateral Transfer Letter' dated 1st/12/1997. Transferred from Atoroma P/S to Abarilela P/S with effect from 1st/12/1997. Signed by Egadu Francis – DEO Amuria.

3. Abuket P/S had 11 (9 male and 2 female) teachers deployed as seen in their staff list displayed on the wall. Both records had the same information.

For further verification,

Emodu Joseph, 'Posting Instructions' dated 24th/9/2019, Ref. CR/ADLG/161/1. Posted to Abuket P/S. signed by Wamono Paul – CAO.

$3/3 \times 100 = 100\%$

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2

- Else score: 0

There was evidence that LG had a school asset register accurately reporting on the infrastructure in all registered primary schools.

3 schools were visited and found the following:

KujuP/S in the LG asset register reported for instance;

- 7 classrooms

- 10 stance pit latrine

- 3 staff houses

- 113 desks.

The same numbers were found at school and in the school asset register. However, some desks were broken (9).

AbarilelaP/S in the LG asset register reported:

- 7classrooms

- 5 stance pit latrine

- 2 staff houses

This was found at school and in the school asset register. Except the number of the desks was not the same.

AbuketP/S in the LG asset register reported:

- 6 classrooms

- 10 stance pit latrine

- 3 staff houses

- 165 desks.

This was found at school and included in the school asset register.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> • If 100% school submission to LG, score: 4 • Between 80 – 99% score: 2 • Below 80% score 0 	<p>Amuria LG did not ensure that all registered primary schools complied with MoES annual budgeting and reporting guidelines and submitted reports.</p> <p>Could not sample schools from the DEO's office because there were no copies/files of the reports submitted to the DEO's office.</p> <p>0%</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> • If 50% score: 4 • Between 30– 49% score: 2 • Below 30% score 0 	<p>UPE schools were supported to be prepared and implement SIPs in line with inspection recommendations.</p> <p>It was hard to establish the percentage of schools that were supported to prepare and implement SIPs since there was no report at DEO's office. However, schools were visited and the following was found:</p> <p>3 schools sampled:</p> <p>Kuju P/S had their School Implementation plan for 2019/2022 displayed on the head teacher's office wall.</p> <p>Interview with the Head teacher indicated that the teachers and SMC were trained by World Vision on how to prepare the SIP.</p> <p>Abarilela P/S had filed the School Implementation Plan -2019/2020. In the file, there was annual budget 2020 and expenditure of UPE releases 2019. Training to prepare the SIP was conducted by the Coordination Center Tutor to teachers.</p> <p>Abuket P/S had a file with SIP report. Budget 2020, PLE results, Expenditures and school development plan 2019/2022. Interview with Head teacher indicated that they were supported by the District Inspector of Schools</p>	0

<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> • If 100% score: 4: • Between 90 – 99% score 2 • Below 90% score 0 	<p>AmuriaLG collected and compiled EMIS return forms for all registered schools 2019/2020.</p> <p>Amuria DLG had a total of 68 primary schools and 7 secondary schools listed in the Enrolment data template and MoES schools with Enrolment showed 68 primary schools and 7 secondary schools.</p> <p>Sample names of the schools in both records seen:</p> <ul style="list-style-type: none"> - Kuju P/S - AbarilelaP/S - Abuket P/S - Amucu P/s - Arute P/S -OcociaGilr SS - Amuria SS
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Human Resource Management and Development

<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>There was evidence that the LG had budgeted for a Head Teacher and minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7.</p> <p>Amuria DLG had budgeted for UGX 5,027,443,000 for the FY 2020/2021 as seen from Amuria DLG Budget Estimates FY 2020/2021.</p> <p>710 teachers for 68 primary schools in the current FY 2020/2021 as indicated in the Amuria DLG Approved work plan FY 2020/2021.</p> <p>$710/68=10$</p> <p>710 teachers for 68 schools gives an average of 10 teachers per school.</p>
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Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,

Score 3 else score: 0

There was evidence that the LG deployed teachers as per sector guidelines in the current year 2020/2021.

Amuria DLG Education and Sports Department School Staff List 2020 showed a total of 696 teachers for 68 schools.

3 schools were visited and the following was found:

1. Kuju P/S had 13 (9 male and 4 female) government paid teachers and in the daily attendance of 9th/11/2020, only 8 teachers were in attendance. 5 teachers were missing, 2 were on maternity leave and 2 sick, 1 had not reported to school since the term opened.

-Okupa Julius

- Abuo Betty

- EcibuFastino

Appeared in both records of DLG Staff List and school staff list.

2. Abarilela P/S had 11 (7 male and 4 female) teachers and in their daily attendance of 12th/11/2020, only 8 teachers attended. The rest were sick.

Sample names of the teachers in both records.

-Okirima David

- Ilemut Joseph

- Eyalu Samuel.

3. Abuket P/S had 11 (9 male and 2 female) government paid teachers. In the daily attendance of 12h/11/2020, only 7 teachers attended. Iiko John has been on sick leave for a year now, the other 3 were also sick

Sample names of the teachers in both records.

-Aibo Juliet

- Emodu Joseph

- Amongin Norah.

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board,</p> <p>score: 1 else, score: 0</p>	<p>The teachers' deployment data was disseminated or publicized on school notice boards.</p> <p>Amuria LG had the Staff List displayed on the DEO's Office notice board. (showing 696 teachers for 68 primary schools).</p> <p>Kuju P/S had their list displayed on the wall of head teachers' office showing the names of 13 government aided teachers.</p> <p>Abarilela P/S had staff list of 11 government aided teachers displayed on head teacher's office.</p> <p>Abuket P/S had staff list of 11 government aided teachers displayed on the head teacher's office wall.</p>	1
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>	<p>From the reviewed performance reports only 16 out of 49 performance reports for primary schools head teachers were available to the assessment Team.</p>	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised with evidence of appraisal reports submitted by D/CAO (or Chair BoG) to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>There was no evidence available to the Assessment team that showed that secondary school head teachers were appraised.</p>	0

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans score: 2. Else, score: 0</p>	<p>At the time of assessment only the DEO had been appraised as below;</p> <p>AcomKelem the DEO had been appraised on 12th Aug 2020</p> <p>The two inspectors had no appraisal documents on file.</p>	0
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level, score: 2 Else, score: 0</p>	<p>There was no training program available to the team at the time of assessment</p>	0
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Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually. If 100% compliance, score:2 or else, score: 0</p>	<p>The LG confirmed in writing the list of schools, enrolment and budget allocation in programme Budgeting system (PBS).</p> <p>In the MoES school list, 68 UPE primary schools with 50,784 total enrolments which was the same in Amuria DLG data enrolment template.</p> <p>In the MoES School list, 7 USE with total enrolment of 2,387 secondary schools same as Amuria DLG data enrolment template.</p> <p>Total number of schools confirmed in writing with enrolments and budgets are 68+7=75.</p> <p>$68+7=75/75*100=100\%$</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>There was evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>Sector guideline was disseminated by MoES and print or hard copy was seen in the DEO's office.</p> <p>Monitoring and inspection functions are included in the work plan (PBS extract 2019/2020). Total budget for inspection was UGX 53,000,000.</p> <p>Spent budget is UGX 53,000,000</p> <p>$53,000,000/53,000,000*100=100\%$</p>	2
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9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>With the exception of Q1, warrants for school's capitation were submitted within 5 days in Q2 and Q3 as evidenced below:</p> <p>Q1 School's capitation of Shs.230, 688,000 received from MoFPED on 9th/7/2019 was warranted on 10th/8/2019.</p> <p>Q2 School's capitation of Shs. 230,688,000 received from MoFPED on 2nd/10/2019 was warranted on 9th/10/2019.</p> <p>Q3 capitation of Shs. 230,688,000 received from MoFPED on 8th/1/2020 was warranted on same day.</p>	0
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Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2 else, score: 0

There was evidence that LG invoiced and DEO communicated, publicized capitation releases to schools within three working days' release from MoFPED

UPE Releases for quarter 3 and 4 2019/2020 and Q1 2020/2021 were publicized on the DEO's notice board. Invoices from the CFO's office could not be printed.

3 sampled schools.

Abuket P/S acknowledged receipt of UPE funds for 2019/2020 with date of 24th/8/2020 for the following amounts:

2019/2020 term 3, UGX 2,335,604

2019/2020 term 1, UGX 2,345,704

2019/2020 term 2, UGX 2,386,000.

Abarilela acknowledged receipt on 25th/8/2020.

Q4 2019/2020 UGX 4,350,000

Q3 2019/2020 UGX 4,350,000

Q1 2020/2021 UGX 4,350,000

Kuju P/S acknowledged on 26th/6/2020.

Q4 2019/2020 UGX 3,246,000

Q3 2019/2020 UGX 3,246,000

Q1 2020/2021 UGX 3,246,000

Routine oversight and monitoring

Maximum 10 points on this performance measure

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

• If 100% compliance, score: 2, else score: 0

There was inadequate evidence that the Amuria LG Education Department prepared an inspection plan and meetings conducted to plan for school inspections.

Term 3, 2019 inspection planning meeting held on 12th/11/2019 at the office of the District Inspector of Schools, attended by 9 people. Min/13/11/2019: Drawing of the Inspection Program. Signed by Okilla Paul Chairperson

Term 2, 2019 inspection plan was laid out in the head teachers meeting held on 28th/5/2019, attended by 65 people in minute 5, discussing plan for inspection of schools. Signed by Agwang Mary Lilly – secretary and Ochom Michael – chairperson.

$2/3 \times 100 = 67\%$

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> • If 100% score: 2 • Between 80 – 99% score 1 • Below 80%: score 0 	<p>Amuri DLG had 68 government aided schools and 5 private licensed schools in the list.</p> <p>Mostly government aided schools were inspected for the three terms.</p> <p>Term 1, 2019 inspection report dated 24th/5/2019. Inspections were conducted in 68 UPE schools by both District Inspectors and the Associate Assessors. Report prepared by District Inspector of schools – Mr. Okilla Paul.</p> <p>$68/68 \times 100 = 100\%$</p> <p>Term 2, 2019 inspection report dated 23rd/8/2019 was conducted in 68 UPE schools. Prepared by District Inspector of Schools – OKilla Paul.</p> <p>$68/68 \times 100 = 100\%$</p> <p>Term 3, 2019 inspection report dated 2nd/12/2019 was conducted in 68 UPE schools. Report prepared by DIS – Mr. Okilla Paul.</p> <p>$68/68 \times 100 = 100\%$</p> <p>$100 + 100 + 100 = 300/3 = 100\%$</p>	2
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that inspection reports were discussed and used to recommend corrective action and subsequent follow up at LG level.</p> <p>At DEO's office, the following minutes were reviewed.</p> <p>Meeting held on 12th/11/2019 at the office of the District Inspector of Schools, attended by 9 people and in minute 12/11/2019: Highlights of the previous report.</p> <p>Issues pointed out:</p> <ul style="list-style-type: none"> -encourage Associate Assessors to work, - all the allocated schools for inspection were covered, - submission of reports by the Associate Assessors, <p>The meeting was chaired by Okilla Paul</p> <p>Head Teachers meeting held on 14th/6/2019 at Amuria P/S. Attended by 48 people to partly discuss term 2, 2019 inspection report. Minute 9: communication from DEO and DIS. Chaired by Ochom Michael. Discussed mainly about the</p>	2

attendance to monitored weekly and learners' attendances to be submitted.

3 schools were visited and found the following:

KujuP/S had inspection report for term 2, 2019 dated 1st/7/2019. Conducted by Amao Anne Rose- Associate Inspector.

Term 2 and term 3 inspection reports were not seen.

Issues from the inspection report followed up included:

- Schemes and plans to be in place
- Ability for pupils to read well, more effort should be put to help the pupils.

Nominutes were presented discussing inspection reports.

AbarilelaP/S had inspection report for term 2, 2019, dated 1st/7/2019, conducted by Onyait Wilberforce - Associate Assessor.

Issues from inspections:

- teacher supervision.
- Attendance of teachers and pupils.

No minutes discussing inspection reports

Abuket P/S term 3, 2019 inspection report was conducted on 21st/11/2019, by Ejule John Julius. Term 2, 2019 inspection report was conducted on 20th/6/2019 and term 1, 2019 inspection report was conducted on 23th/4/2019, conducted by Egoiki Michael - Associate Assessor.

Minutes:

SMC meeting held on 9th/3/2020 attended by 12 members and in minute 3: Report from the head teacher, discussing issues in the inspection report term 3, 2019. Signed by Robert Ariu as secretary.

SMC meeting held on 1st/10/2019 in minute 3, report from head teacher, discussed term 2, 2019 inspection report. Attended by 13 people. Signed by Episu Martin – Chairperson.

SMC meeting held on 24th/5/2019, attended by 9 members, minute 3, report from head teacher, discussed term 1, 2019 inspection report. Signed by Head teacher – secretary.

Routine oversight and monitoring

Maximum 10 points on this performance measure

d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0

There was evidence that the DIS and DEO had presented findings to respective schools and submitted reports to DES.

Acknowledgement letter dated 20th/9/2019, for term 1, 2019 and term 2, 2019 reports submission. Received by Kirenda Winnie – secretary.

Acknowledgement letter dated 29th/7/2020, submission for term 3, 2019 inspection report. Received by Kirenda Winnie – secretary.

In the DES records Amuria had submitted work plans and term 1, 2 and 3 inspection reports.

Routine oversight and monitoring

Maximum 10 points on this performance measure

e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0

Amuria DLG Education, Health and Community Based Services Committee Meeting Held on 20th/9/2019 in the Health Board room.

Minute 5:

“Review and discussion of monitoring reports, (c) Education – DEO;

-Unspent balance due to death of employees

- Transfer cases of teachers by the center

- Replacement of teachers

- monitoring and inspection of schools is a critical activity that should be done on routine jointly.

Response.

- DEO to take keen interest in monitoring schools

- Council to recognize well performing teachers and school.

This meeting was attended by 14 members both the Council members and the Heads of departments. Signed by Hon. Ogulei Joseph – secretary.

Minutes of the Education, Health and Community Based Services Committee meeting held on 11th/12/2019 in the Council Hall.

Minute 6 (b) Education sector;

- Transfer of teachers to be done before opening of first term

- DEO to provide data of teachers who have registered on line

- Inspection reports to be shared.

The meeting was attended by 15 people both the Council members and the heads of departments.

Signed by Hon. Ogulei Joseph – Secretary.

11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There was evidence that Amuria LG Education department had conducted activities to mobilize, attract and retain children at school. For instance:</p> <p>Annual General Meeting for Oidala P/S held on 28th/11/2019. Attended by 156 (96 male and 60 female) people. Minute 12, communication from DEO/DIS Amuria district and SMC and PTA representatives.</p> <p>Issues.</p> <ul style="list-style-type: none"> -children have been left to do agriculture by parents and yet they need to be at school. That there will be by-laws that must be followed especially on some of the parents who do not send their children to school. - parents have left guidance and counselling to no one for their children and that's why the children need to be kept at school. - parents were encouraged to keep children at school. 	2
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Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, <i>score: 2, else score: 0</i></p>	<p>There was evidence that an up to-date LG assets register which sets out school facilities and equipment relative to basic standards was submitted.</p> <p>Amuria DLG had asset register titled, "AmuriaDLG Primary and Secondary Schools' Asset Register 2019/2020' is in the format prescribed by MoES. For instance, it includes: name of school, EMIS No., No. of permanent teacher houses, No. of class rooms, desks, No. of latrines (includes all infrastructure and Facilities). As stipulated in the Sector guidelines for MoES Pg. 49.</p> <p>Kuju P/S had School Asset Register 2020, updated with 9 classrooms, 5 stance pit latrines, 7 staff houses and 96 desks. It also includes names of text books, purchases made of counter books, pens, 7 chairs and 3 tables in the school.</p> <p>AbarilelaP/S had asset register 2019/2020 (counter book) where all infrastructure and equipment are recorded. For instance, 2 staff houses, 10 stance pit latrines, 7 classrooms and desks including other items.</p> <p>Abuket P/S had Asset Register 2019 in a file where all school items are recorded. For instance 165, 6 classrooms, 3 staff houses and 10 stance pit latrines.</p>	2
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i></p>	<p>The LG conducted desk appraisal for all sector projects in the budget as evidenced by the Desk appraisal reports dated 14th/4/2019 on 5-stance pit latrines constructed in Amucu and construction of a 2-classroom block in Aojakitoi P/s.</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i></p>	<p>Field appraisal for sector projects like construction of a 5-stance pit latrines constructed in Amucu and construction of a 2-classroom block in Aojakitoi P/s was conducted as evidenced on the field appraisal of infrastructure projects report dated 14th/11/2019.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>The DLG had the following Education infrastructure projects approved and incorporated into the DLG Procurement plan and AWP for FY 2020/2021.</p> <ol style="list-style-type: none"> 1. Construction of a 2 Classroom Block at Ogolai primary School page 1 of 2 in the consolidated AWP dated 15/04/2020. 2. Construction of a 5 stance Latrine for girls at Olelai Wera P/S. page 1 of 2 in the consolidated AWP dated 15/0/2020. 3' Construction of a 5 stance Latrine for Girls at Kuju Primary School. page 1 of 2 in the consolidated AWP dated 15/04/2020 all under minute: MIN//05/15/05/2020/DCC <p>Approval of District Procurement Plan.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>The LG had the following Education Sector infrastructure projects approved by Contracts committee before commencement of construction:</p> <ol style="list-style-type: none"> 1. Construction of a 2 Classroom Block at Ogolai primary School page 1 of 2 in the consolidated AWP dated 15/04/2020. 2. Construction of a 5 stance Latrine for girls at OlelaiWera P/S. page 1 of 2 in the consolidated AWP dated 15/05/2020. 3'Construction of a 5 stance Latrine for Girls at Kuju Primary School. page 1 of 2 in the consolidated AWP dated 15/04/2020 all under minute: MIN//05/15/05/2020/DCC <p>Approval of District Procurement Plan.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>The Project Implementation Team was not fully constituted.it was composed of only the District Engineer.</p> <p>The CAO in a letter ref:CR/211/39, used regulation 119 of LG Procurement Act to appoint Mr. Eonya Julius District Engineer on 24/02/2020 as Project Manager for construction works under Education sector and school infrastructure projects.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>DLG Engineer's Progress and supervision reports of Education infrastructure project sites including;</p> <ol style="list-style-type: none"> 1. Construction of a 2 classroom Block at Aojakitoi Primary School. 2. Construction of a 2 classroom Block at Oriebai P/S. 3.Construction of a classroom Block at Asamuk Primary School all had MoES technical designs attached to Engineer's BoQs and certificates issued on 09/06/2020. 	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>.There was no evidence of monthly site meetings held.</p> <p>The only evidence provided was of quarterly site meetings which were held in three quarters as follows:</p> <ol style="list-style-type: none"> 1. Second quarter meeting with Engineer's monitoring report attached for Aojakitoi Primary School construction works was held on 05/10/2019, MIN: 05/10/19-20/16. 2. Third quarter meeting with Engineer's monitoring report attached for construction of Oriebai Primary School was held on 27/01/2020, MIN: 27/01/19-20/12. 3. Fourth quarter meeting with Engineer's monitoring report attached for construction of Asamuk Primary School, was held on 22/04/2020, MIN: 22/04/19-20/10 	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted <i>score: 1, else score: 0</i></p>	<p>There was no evidence of a monthly joint technical supervision conducted involving engineers, environment officers, CDOs.</p> <p>The only evidence provided was quarterly joint technical supervision visits as below;</p> <ol style="list-style-type: none"> 1. Second quarter meeting minutes attached to Engineer's technical supervision report for Aojakitoi P/S construction works was held on 5/10/2019, under MIN: 05/10/19-20/16. 2. Third quarter meeting minutes attached to Engineer's technical supervision report for Construction of Oriebai P/S was held on 27/01/2020, under MIN: 27/01/19-20/12. 3. Fourth quarter meeting minutes attached to Engineer's technical report for construction of Asamuk Primary School was held on 15/04/2020 under minute MIN 15/04/19-20/18 	0

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>Sector infrastructure projects were properly executed but payment to contractors were untimely made as evidenced below:</p> <p>M/s AMEN ENTERPRISES HARDWARES LTD requested for payment of Shs. 44,780,568 on 11th/5/2020, for construction of 2 classroom block with offices and store at Aojakitoi P/s, recommended for payment by DEO and DE on 18th/6/2020 and was paid on 23rd/6/2020 within 43 days.</p> <p>M/s FAOCA ENTERPRISES LTD requested for payment of Shs. 19,483,615 on 11th/5/2020 for construction of a 5-stance pit latrine at Amucu P/s, recommended for payment by DEO on 15th/6/2020 and DE on 8th/6/2020 and was paid on 23rd/6/2020 within 34 days as per PV No.30190170 dated 23rd/6/2020.</p>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>DLG departmental timely submitted a procurement plan on 28/04/2020 to PDU before the set deadline of 30th April 2020.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p>	<p>The LG had the following Education infrastructure projects approved and incorporated into the Procurement plan for FY 2020/2021.</p> <ol style="list-style-type: none"> 1. Construction of a 2 classroom Block at Ogolai Primary School File Ref:AMUN/565/Wrks/20-21/00005. Page 1 of AWP. 2. Construction of a 5 stance Latrine at Olelai Wera Primary School File Ref: AMUN/565/Wrks/20-21/00008, Page1. 3.Construction of a 5 Stance Latrine for girls at Kuju Primary School File AMUN/565/Wrks/20-21/00007 all were approved by contracts committee under minute: <p>CC/APR/28/2020-2021/MIN/15 dated 28/04/2020.</p>	1

Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>There was evidence that the LG recorded, investigated, responded to and recorded in line with the grievance redress framework as mentioned below:</p> <p>Grievance, Classroom accommodation inadequate at Oriebai P/S</p> <p>Action taken</p> <p>Two classroom block with an Office and a store constructed in FY 2019-2020</p> <p>Status of complain</p> <p>Request completed</p>	3
15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was no evidence that the LG had disseminated the Education guidelines to provide for access to land proper siting of schools, 'green schools and energy and water conservation</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>There was no evidence that the LG had a costed ESMP</p> <p>incorporated within the BoQs and contractual document</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>Out of the 3 sampled schools, the LG had only one school that had proof of ownership of land which was under the process of transfer, transfer application form was dated 12th June 2019 for Aojakitoi P/S.</p> <p>Block 1, Plot 187 and 188 land in Aoja-Kuju Aojakitoi village, Kuju Parish in Amuria S/C.</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was no evidence that the LG Environment Officer and CDO conducted support supervision and monitoring to ascertain compliance with ESMPs including follow up on recommended corrective actions;</p>	0

Safeguards in the delivery of investments

Maximum 6 points on this performance measure

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

Score: 1, else score:0

There was evidence that the LG had certifications forms approved and signed by the Environmental officer and CDO as below;

1. From the certification form dated 5th June 2020, construction of two classroom blocks and store in Oriebai in Oriebai village,
2. From the certification form dated 10th June 2020 construction of 5 stance pit latrines at Amuch P/S in Amuch village
3. From the certification form dated 19th June 2020, construction of two classroom blocks with an Office in Aojokitoi P/S in Aojokitoi village.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total OPD attendance, and deliveries.</p> <ul style="list-style-type: none"> • By 20% or more, score 2 • Less than 20%, score 0 	<p>While OPD attendance increased by 20.28%, Deliveries increased by only 0.72% for the three sampled facilities namely Amuria Hospital, Asamuk HCIII and Wera HCIII.</p> <p>The total OPD attendances for the 3 sampled facilities in the FY 2018/2019 was 58011 (Amuria Hospital 21673, Asamuk HCIII 18629, Wera HCIII 17709), while in 2019/2020 it was 69775 (Amuria Hospital 25548, Asamuk HCIII 18823, Wera HCIII 25404)</p> <p>(Working % increase in OPD attendance $69775 - 58011 / 58011 \times 100 = 20.28\%$)</p> <p>Total deliveries for the FY 2018/2019 was 2363 (Amuria Hospital 1416, Asamuk HCIII 548, Wera HCIII 399). The total deliveries in the FY 2019/2020 was 2380 (Amuria Hospital 1463, Asamuk HCIII 570, Wera HCIII 347)</p> <p>(Working $2380 - 2363 / 2363 \times 100 = 0.72\%$)</p>	0
2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 2 • 50 – 69% score 1 • Below 50%; score 0 	N/A	0
2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>b. If the average score in the RBF quarterly quality facility assessment for HC Ills and IVs is:</p> <ul style="list-style-type: none"> • Above 75%; score 2 • 65 – 74%; score 1 • Below 65% ; score 0 	<p>The average assessment score for the 9 facilities participating in RBF in the last quarter of 2019/2020 FY was 83.54%. (Asamuk HCIII 77.6%, St Micheal HCII 95.7%, Wera HCIII 90.4%, Morungatuny HCIII 74.74%, Amuria G Hospital 80.14%, Aberilela HCIII 94.6%, Olungo HCIII 71.96, Amusus HCIII 84.8%, Amucu HCIII 81.89%)</p> <p>(Working, $77.6 + 95.7 + 90.4 + 74.74 + 80.14 + 94.6 + 71.96 + 84.8 + 81.89 / 9 = 83.54\%$)</p>	2

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG Health Department Development budget for FY 2019/2020 was Shs.185, 260,000 as on page 23 of the approved budget against which Shs. 173,996,000 was spent as on page 16 of AFS. Two projects were implemented as evidenced below:</p> <ol style="list-style-type: none"> 1.Theatre construction Services in Amuria HCIV now General Hospital for Shs. 133,996,000 2. Construction maintenance and repair of the District Vaccine Store for Shs. 40,000,000 representing 94%. <p>Workings:</p> $173,996,000/185,260,000*100 = 94\% \text{ short by } 0.6\%$	0
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>The Engineer, Environment officer and CDO jointly certified works during construction of sector projects as follows:</p> <ol style="list-style-type: none"> 1. Construction of OPD at Amuria Hospital by Bygon Enterprises Ltd. Work was recommended by DHO, CDO and Environment officer. Certificate of completion dated 15/06/2020 was issued by Engineer and payment of 664,574,820 was effected on 24/06/2020. 2. Completion of Theatre Construction works at District Hospital by TODI Investments Ltd. was recommended by DHO, CDO and Environment officer. Certificate of completion dated 15/06/2020.was issued Engineer and payment of Shs.134, 116,530 was effected on 24/06/2020. 	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<ol style="list-style-type: none"> 1.Supply of Medical Equipment at Amuria Hospital was estimated at 92,000,000 and Supplier's Price 90,981,000 Variation of 1,019,000 (1.1%) was within +20% of estimated amount. 2. Construction of of OPD at Amuria Hospital was estimated at 665,500,000 and Contractor's Price was 664,574,820. Variation of -925,180 (-0.14) was within -20% of Engineer's estimate. 3. Construction of a Theatre at Amuria Hospital was estimated at 140,000,000 and Contractor's Price was 134,116,530. Variation of 5,883,470 (4.2%) was within +20% of Engineer's estimate. 	2

3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY</p> <ul style="list-style-type: none"> • If 100 % Score 2 • Between 80 and 99% score 1 • less than 80 %: Score 0 	<p>The certificates of completion for health projects were issued by DLG Engineer as follows:</p> <ol style="list-style-type: none"> 1. Supply of Medical Equipment to Amuria Hospital Crown Health Care (U) Ltd. Costed 90,981,000 and payment approval dated 11/04/2020 was made by CAO. 2. Construction of OPD at Amuria Hospital by Bygon Enterprises Ltd. costed 664,574,820 and was issued completion certificate dated 15/06/2020. 3. Completion of Theatre Construction works at District Hospital by TODI Investments Ltd. costed Shs.134, 116,530 and was issued completion certificate dated 15/06/2020. 	2
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> • If above 90% score 2 • If 75% - 90%: score 1 • Below 75 %: score 0 	<p>HCIIIs staffing level was 76.97%. Out of the 152 approved vacancies for the 8 HCIIIs, 117 were filled (working $117/152 \times 100 = 76.9$).</p> <p>Abirilela HCIII had 100% (19/19), Akeriau HCIII 63.15% (12/19), Alere HCIII 42% (8/9), Amusu HCIII 68.42% (13/19), Asamuk 84.21% (16/19), Morungatuny 94.74% (18/19), Orungo 84.24% (16/19), and Wera HCIII had 78.95% (15/19).</p> <p>The LG has no HCIV</p>	1
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> • If 100 % score 2 or else score 0 	<p>DLG Engineer's Progress and supervision reports of Health projects sites including;</p> <ol style="list-style-type: none"> 2. OPD at Amuria Hospital. 3. Theatre at Amuria Hospital <p>all had MoH technical designs attached to Engineer's certificates and BoQs for health projects Issued on 09/06/2020.</p>	2

Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>The staff on the lists obtained from the Human resource for the 3 sampled facilities (Amuria Hospital, Asamuk HCIII, and Wera HCIII) were found to be in place. For Example, Wera HCIII had all the 16 staff on the list working at the facility (1 SCO, 1CO, 1 Nursing Officer, 2E/Nurses, 3 Midwives, 1 Health information Assistant, 1 Lab Assistant, 1 Lab Technician, 1 Lab Assistant, 1 N/Assistant, 1 HIA, 2 Askaris, and 1 Porter,)</p> <p>Aketa HCIII had all the 15 staff (1 CO, 3 E/midwives, 2 E/nurses, 1 Health Assistant, 2 Nursing officers, 1 Nursing Assistant, 2 Askaris, and 1 Porter)</p>	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>The list had construction of a theatre at Amuria Hospital. 133,996,000/= ugx(Vote. 565) was budgeted for the construction of the theatre. The PBS report showed construction of the said theatre at same cost as output number 088283.</p> <p>The list also had upgrading of Akeriau and Alere HCIIIs to HCIIIs. The upgrade was affirmed by a letter from Permanent Secretary MOH to the CAO dated 22/10/2020 Ref ADM 45/273/01. There were no constructions at the two upgraded Health Centers.</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans & budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <p>• Score 2 or else 0</p>	<p>All the 3 sampled facilities (Amuria Hospital, Wera HCIII and Morungatuny HCIII) submitted annual work plans after the 31st March of previous FY. AMuria Hospital submitted on 2/07/2020. Wera HCIII submitted on 1/07/2020 and Morungatuny HCIII submitted on 31/07/2020</p>	0

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :

• Score 2 or else 0

All the three sampled facilities namely Amuria Hospital, Wera HCIII and Morungatuny HCIII submitted their 2019/2020 annual budget performance reports within the recommended time (by July 15th of the current FY).

Amuria Hospital submitted on 2/7/2020, Wera HCIII on 1/7/2020 and Morungatuny on 15/07/2020

Maximum 14 points on this performance measure

2

6

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

• Score 2 or else 0

All the three sampled facilities (Morungatuny HCIII, Wera HCIII and Amuria Hospital) submitted their performance improvement plans (PIP) for the current FY to the CAO endorsed by the Chairperson HUMC through the DHO.

Morungatuny HCIII prepared the PIP on 16/06/2020, it was endorsed by the HUMC C/person on the same day, forwarded by the DHO on 22/06/2020 and approved by the CAO on the same date. Some of the areas to improve on were, Increasing annual ANC 4th attendance from 240 to 499, Health facility deliveries from 322 to 450.

Wera HCIII prepared the PIP on 19/06/2021, it was endorsed by the C/person HUMC on the same date, forwarded by the DHO to the CAO on 22/06/2020 and approved by the CAO on the same day. Some of the actions to be undertaken included increasing average quarterly OPD attendance from 3821 to 4205, annual Health facilities deliveries from 344 to 437, mothers receiving 2 doses of fansider during pregnancy from 603 to 694.

Amuria Hospital prepared the PIP on 12/06/2020 which was endorsed by the C/person HUMC on the same day, forwarded by the DHO on 22/7/2020 and approved by the CAO on the same day. Areas of improvement included, increasing quaterly long term Family planning new users from 152 to 180, annual facility deliveries from 608 to 804.

Maximum 14 points on this performance measure

2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%, • score 2 or else score 0</p>	<p>All the 3 Health facilities sampled (WeraHCIII, Asamuk HCIII and Amuria Hospital) attained 100% timeliness submission of monthly and quarterly reports. E.g. Wera HCIII submitted HMIS 105 on 7th August, 7th Sept, 7th Oct, 6th Nov, 7th Dec, 7th Jan, 7th Feb, 7th March, 7th April, 7th May, 7th June and 7th July for the reports of July, August, Sept, Oct, Nov, Dec, Jan, Feb, March, April, May and June respectively). The same facility submitted the Q1 report on 7th Oct, Q2 report on 7th Jan, Q3 report on 7th April and Q4 report on 7th July. The other two facilities Asamuk HCIII and Amuria Hospital also submitted around the same dates.</p>	2
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0 Note: Municipalities submit to districts</p>	<p>None of the 3 facilities sampled namely Wera HCIII, Amuria Hospital and Asamuk HCIII had submitted the RBF invoice for the last quarter</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>The LG had no evidence of submission of facilities RBF invoices to MOH for the last quarter</p>	0
<p>Maximum 14 points on this performance measure</p>				

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The Health department submissions of QBPR for the previous FY to the District Planner for consolidation were only timely for Q2 and Q3 as evidenced below:</p> <p>Q1 submitted on 9th/12/2019. Q2 submitted on 16th/1/2020. Q3 submitted on 20th/4/2020. Q4 submitted on 1st/9/2020.</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>The LG developed performance improvement plans for weak facilities which were compiled by facilities in charges, endorsed by the chairperson HUMCs, forwarded by the DHO and approved by the CAO.</p> <p>The facilities for which PIPs were developed include,</p> <p>Orungo HCIII compiled on 13/6/2019, St Micheal HCIII compiled on 17/6/2019, Asamuk HCIII compiled on 17/06/2019, Amucu HCIII compiled on 17/6/2019 and Amususu HCIII compiled on 18/06/2020. The plans were approved on 21/6/2020 by the CAO.</p>	1
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>Implementation of performance improvement plans were evidenced by reports from the DHT on progress of implementation of action points developed. The reports are done quarterly incorporated in the quarterly support supervision reports. Quarter 1 report was made on 27/09/2019, quarter 2 report on 16/12/2019, quarter 3 report on 30/3/2020 while quarter 4 report was made on 29/6/2020.</p>	1
<p>Maximum 14 points on this performance measure</p>				

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>According to the Amuria Health Department work plan for the FY 2019/2020, the LG budgeted for the health workers as per guidelines. Ugx 2,611,369,000/= was budgeted for staff salaries (budget code 211101, Vote 565)</p>	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The overall staffing level was 56.4%. The total filled vacancies for the Health department in the LG was 232 out of the 411 approved vacancies, this gives the percentage staffing level of 56.4% (232/411 x100).</p> <p>According to staff lists for the three facilities that were sampled (Amuria Hospital, Asamuk HCIII and Wera HCII), the staffing deployment were as follows,</p> <p>Amuria Hospital, had 54 staff out of the approved 185 hence a percentage of 29.2% staffing level (working 54/185x100=29.2%).</p> <p>Asamuk HCIII had 16 filled vacancies out of 19 (84.21%), (Working, 16/19x100=84.21%)</p> <p>Wera HCIII had 15 out of 19 (78.95%) vacancies filed (working 15/19x100=78.95%).</p> <p>Amuria Hospital has a very low staffing level of 29.2% because it has just been upgraded from HCIV level to Hospital (at the beginning of this FY).</p>	0
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>From the sampled health facilities (WeraHCIII,Asamuk HCIII and Amuria Hospital), there was evidence that health workers were working for the Health facilities where they were deployed.</p> <p>A comparison was made between the staff list and the daily attendance registers at the health facilities to prove that the Health workers were working where they are deployed.</p> <p>For Example</p> <p>Wera HCIII had all the 15 staff on the list working and Asamuk 16 working.</p>	3

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and dissemination by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

All the three facilities sampled (Amuria Hospital, Asamuk HCIII and Wera HCIII) had updated staff lists hanged on their notice boards. The lists were endorsed and stamped by the facility in charges. The Amuria Hospital list was dated 12/07/2020, Asamuk HCIII list was dated 17/07/2020 and WeraHCIII was dated 24/7/2020.

<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <p>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</p>	<p>From the sampled 10 health facility in charges 6 out of 10 had appraisals on file</p> <p>The sampled Health facility in charges included the following;</p> <ol style="list-style-type: none"> 1. Dr. Epachu Pantaleo appointed vide appointment letter dated 26th June 2020 referenced CR/ADLG/156/2 minute no. DSC.5/ADSC/JUNE/2020 (xvi) posted to Amuria general Hospital appraisal was not on file. 2. Opio Martin appointed vide appointment letter dated 21st Dec 2007 DSC Minute 47/2007 referenced CDO/156/2 posted to Abarilela H/C III appraisal not on file 3. Okolong Silver appointed vide appointment letter dated 21st Dec 2007 DSC Minute 47/2007 referenced CDO/156/2 posted to Akeriau H/C III appraisal not on file 4. Otim Dan appointed vide appointment letter dated 23rd June 2015 DSC Minute 21/06/2015 CK II referenced CR/156/2 posted Alere H/C II appraisal not on file. 5. NansubugaDrothy appointed vide appointment letter dated 5th June 2012 DSC Minute 174/2012)(b) referenced CDO/156/2 posted to Orungo H/C III appraised on 29th June 2020 6. Olele Alex appointed vide appointment letter dated 23rd June 2015 DSC Minute 21/06/2015)(g) I referenced CR/156/2 posted to Morugatu H/C III appraised on 30th June 2020 7. Echeru Stephen appointed vide appointment letter dated 5th June 2012 referenced CR/156/2 DSC Minute 174/2012(b) posted to Asamuk H/C III appraised on 30th June 2020 8. Kanana Doris appointed vide appointment letter dated 5th June 2012 referenced CR/156/2 DSC Minute 217/2013(i) posted to Alere H/C III appraised on 30th June 2020 9. Ojilang Aaron appointed vide appointment letter dated 13th February 2013 referenced CR/156/2 DSC Minute 217/2013(iv) posted to WereH/C III appraised on 10th November 2020 10. Omaswa Daniel Francis appointed vide appointment letter dated 5th June 2012 referenced CR/156/2 DSC Minute 178/2012 posted to Alere H/C III appraised on 1st Sept 2020
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8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>From the 10 sampled health facility workers only 2 out of 10 health workers had appraisals on file.</p> <p>Health workers with appraisals on file included the following;</p> <ol style="list-style-type: none"> 1. Adiebo Rose Immy an enrolled Nurse at Wera HC III was appraised on 29th day of June 2020 2. Akurut Norah a Nursing Assistant at Amuria HC IV was appraised on the 1st day of September 2020 	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There was no evidence of corrective action taken based on appraisal report.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <ol style="list-style-type: none"> i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0 	<p>The LG had no evidence of conducted trainings of Health workers. It was only to produce some continuous medical education minutes for Amuria Hospital</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The LG had no documentation of training activities. It lacked a training/CPD database</p>	0

Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>The LG had no copy of a letter from CAO to MOH confirming the list of Health facilities accessing PHC NWR grants</p>	0
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>The LG Health department budget for PHC NWR Grant for FY 2019/2020 was Shs. 102,219,000 as on page 25 of the approved budget.</p> <p>Actual expenditure on management of District Health Services was Shs. 9,264,000 representing 9% L below the recommended 15%.</p> <p>Workings : $9,264,000/102,219,000*100 = 9\%$</p>	0
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0</p>	<p>Warrants for health facilities were submitted within 5 days in Q2 and Q3 with the exception of Q1 as evidenced below:</p> <p>Q1 PHC NWR grant of Shs.69, 968,078 received from MoFPED on 9th/7/2019 was warranted on 10th/8/2019.</p> <p>Q2 PHC NWR grant of Shs. 69,968,078 from MoFPED on 2nd/10/2019 was warranted on 9th/10/2019.</p> <p>Q3 PHC NWR grant of Shs.69, 962,845 received from MoFPED on 8th/1/2020 was warranted on same day.</p> <p>Q4 PHC NWR grant of Shs.69, 962,845 received from MoFPED on 8th/1/2020 was warranted on same day.</p>	0
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter, score 2 or else score 0</p>	<p>The LG invoiced and communicated PHC NWR grant releases to health facilities within 3 working days as evidenced below:</p> <p>Q1 of Shs.69, 968,078 was communicated on 13th/8/2020.</p> <p>Q2 of Shs 69,968,078 was communicated on 11th/10/2019.</p> <p>Q3 of Shs. 69,962,845 was communicated on 10th/1/2020.</p> <p>Q4 of Shs. 69,962,845 was communicated on 10th/4/2020.</p>	2

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>The LG had letters from CAO indicating release of PHC funds to health facilities. Copies of these letters were also given to facility in charges.</p> <p>Q1 release letter was dated 12/08/2019, ref No CR/ADLG/201/4/3</p> <p>Q2 release letter was dated 12/10/2019, ref No CR/ADLG/201/4/3</p> <p>Q3 release letter was dated 20/01/2020, ref No CR/201/4/3</p> <p>Q4 release letter was dated 20/4/2020, ref No CR/ADLG/201/4/3</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>Evidence of implementation of actions of recommendations from the DHMT Quarterly performance review meetings of the previous FY were in minutes of the Quarterly performance review meetings of each next quarter. The minutes also show comparisons between the performance of the quarter under review and the previous quarter. The meetings were held and minuted on 30/10/2019, 28/01/2020, 30/04/2020 and 30/6/2020 for Q1, Q2, Q3 and Q4 respectively.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>The LG conducted performance review meetings for all the quarters. The meetings were attended by all Health Facility in Charges, DHMT, and partner's representatives from TASO & RHITES-E) plus some District leaders including CAO and Sec for Health and Education.</p> <p>Q1 meeting was held on 30/10/2019.</p> <p>Q2 was held on 28/01/2020.</p> <p>Q3 was held on 30/04/2020</p> <p>Q4 was held on 30/6/2020.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>The DHT conducted all the 4 quarterly support supervision in all the facilities</p> <p>Q1 support supervision was carried out from 23rd to 25th September 2019 and covered all the 16 Health facilities.</p> <p>Q2 supervision was conducted from 11th to 13th December 2019 and all 16 HFs were covered</p> <p>Q3 supervision was done from 23rd March to 25th March 2020 and also all 16 HFs were covered</p> <p>Q4 Supervision was carried out from 23rd June to 25th June 2020 and all the 16 facilities were covered</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p>	<p>All the three facilities sampled (Amuria Hospital, Asamuk HCIII and WeraHCIII) had evidence of support supervision visits with findings and recommendations recorded in the support supervision books by DHT members who conducted the support supervision.</p>	1
	<p>Maximum 7 points on this performance measure</p>	<p>• If not applicable, provide the score</p>	<p>Amuria Hospital had support supervision visits on 25/09/2019, 19/11/2019, 15/12/2019, 16/01/2020, 20/02/2020 and on 5/6/2020, all documented in the Hospital support supervision book.</p>	
			<p>WeraHCIII had support supervision visits on 26/7/2019, 10/09/2019, 24/09/2019, 31/10/2019, 5/12/2019, 11/03/2020, 23/03/2020 and on 12/05/2020, all documented in the facility support supervision book.</p>	
			<p>Asamuk HCIII had integrated support supervision visits on 29/9/2020, 8/1/2020, 15/3/2020 and on 16/5/2020, all documented in the facility support supervision book.</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>The three facilities sampled (Amuria Hospital, Wera HCIII and Asumak HCII) had recommendations by the supervision team recorded in the support supervision books.</p>	1
	<p>Maximum 7 points on this performance measure</p>		<p>There was mention of achievements made from the previous actions developed among the findings recorded in the supervision books by the DHT who conducted the supervisions.</p>	
			<p>Amuria Hospital had its support supervision book recorded in on the 25/09/2019, 19/11/2019, 15/12/2019, 16/01/2020, 20/02/2020 and on 5/6/2020,</p>	
			<p>Wera HCIII had its support supervision book recorded in on 26/7/2019, 10/09/2019, 24/09/2019, 31/10/2019, 5/12/2019, 11/03/2020, 23/03/2020 and on 12/05/2020. The support supervision book for Kokorio HCII was recorded in on 29/9/2020, 8/1/2020, 15/3/2020 and on 16/5/2020</p>	
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>The LG conducted quarterly SPARS support supervision to all the facilities. There was evidence in the reports that recommendations were made to facility in charges on secure, safe storage and disposal of medicines and health supplies. In Q1 supervision was conducted between 15/9/2019 and 29/9/2019.</p>	1
	<p>Maximum 7 points on this performance measure</p>		<p>Q2 supervision was done from 16th to 23rd December 2019.</p>	
			<p>Q3 supervision was from 17th to 31st March 2020. While the Q4 supervision was carried out from 2nd to 4th June 2020.</p>	
			<p>The supervision visits also looked at prescribing quality, dispensing quality, stock management plus reporting and ordering quality</p>	

11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0	<p>The LG budget for PHC NWR Grant for FY 2019/2020 was Shs. 102,219,000 as on page 25 of the Annual budget of which the budget allocation for Health Promotion & Prevention activities was Shs. 41,744,000 as on page 24 of the approved budget representing 40.8%</p> <p>Workings:</p> $41,744,000/102,219,000*100 = 40.8\%$	2
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0	<p>DHT led health promotion, disease prevention and mobilization activities were evidenced by quarterly progress reports on Uganda Sanitation Fund submitted to MOH through the DHO and CAO by the ADHO-EH.</p> <p>Q1 progress report was submitted on 26th September 2019, ref CR/ADLG/115/1.</p> <p>Q2 report was submitted on 15/01/2020, ref CR/ADLG/213/2</p> <p>Q3 report was submitted on 3/05/2020, ref CR/ADLG/210/7.</p> <p>Q4 report was submitted on 8/07/2020, ref ADLG/361/3.</p> <p>Some of the Health promotion activities conducted include</p> <p>1-Radio talk shows on 16/7/2019 and discussed importance of ANC and timing of 1st ANC</p> <p>2- On 23/10/2019 had a radio talk show to sensitize the community on Rubella and Measles immunization campaign</p> <p>3- On 25/10/2019 had sensitization meetings for Sub county leaders on mass measles and Rubella immunization campaign.</p>	1
	Maximum 4 points on this performance measure			
11	Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities	c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0	<p>The LG had DHT quarterly review meetings which discussed actions taken on previous recommendations on health promotion and disease prevention. Review meetings were conducted per quarter on the dates indicated below</p> <p>Q1 meeting was conducted on 30/10/2019.</p> <p>Q2 meeting was on 28/01/2020.</p> <p>Q3 meeting was on 30/04/2020.</p> <p>Q4 meeting was on 30/06/2020. The Uganda Sanitation Quarterly Progress reports to MOH also highlighted actions taken on Health Promotion and Disease prevention issues. The reports were submitted on 26/9/2019, 15/01/2020, 3/5/2020 and 8/7/2020 for Q1, Q2, Q3, Q4 respectively.</p>	1
	Maximum 4 points on this performance measure			

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>The LG had updated asset register in soft copy for all the facilities on HMIS 101 (physical inventory) and HMIS 102 Equipment inventory)</p>	1
	<p>Maximum 4 points on this performance measure</p>			
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the LG Development Plan; (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)); score 1 or else score 0</p>	<p>Desk appraisal for health sector prioritized projects like Repair of the District Vaccine store, Theatre construction at Amuria HCIV (now General Hospital was conducted on 24th/5/2020.</p>	1
	<p>Maximum 4 points on this performance measure</p>			
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>Field appraisal to check on technical feasibility, environment and social safeguards as well as customized designs was conducted as evidenced on the field appraisal of infrastructure report dated 14th/11/2019</p>	1
	<p>Maximum 4 points on this performance measure</p>			

<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>Evidenced from the screening forms signed by the Environmental Officer and the CDO the LG carried out environmental, Social and Climate Change screening/Environment ass below;</p> <p>1. From the screening form dated 30th April 2020, the LG screened the project of the construction of Out Patient Department in Amuria Hospital in Medical Cell, Alira Ward in Amuria T/C</p> <p>Mitigation</p> <p>Sensitization of workers on HIV/AIDs,</p> <p>Revalidation and leveling of the site,</p> <p>To remove the debris from the site,</p> <p>Proper labeling of sign posts at the site</p> <p>2. From the screening form dated 30th April 2020, the LG screened the project of the construction of a theater at Amuria District Hospital I in Medical Cell, Alira Ward in Amuria T/C</p> <p>Mitigation</p> <p>Sensitization of workers on HIV/AIDs,</p> <p>Revalidation and leveling of the site,</p> <p>To remove the debris from the site,</p> <p>Proper labeling of sign posts at the site</p> <p>3. From the screening form dated 30th April 2020, the LG screened the project of the renovation of District Vaccination store in Medical Cell, Alira Ward in Amuria T/C.</p> <p>Mitigation</p> <p>Sensitization of workers on HIV/AIDs,</p> <p>Revalidation and leveling of the site,</p> <p>To remove the debris from the site,Proper labeling of sign posts at the site</p>
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<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>The DLG had the following Health infrastructure projects approved and incorporated into the DLG Procurement plan and AWP for FY 2020/2021.</p> <p>1. Construction of Wila HC11 at Wila Sub county page 1 of 2 in the consolidated AWP dated 15/04/2020.</p> <p>2' Renovation works at Asamuk HC11 in Asamuk Sub county page 1 of 2 in the consolidated AWP dated 15/0/2020.</p> <p>3' Construction of Placenta PIT at Wera HC111 page 1 of 2 in the consolidated AWP dated 15/04/2020 all under minute: MIN//05/15/05/2020/DCC</p> <p>Approval of District Procurement Plan.</p>
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13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>Submission of approved District Health department Procurement for FY 2020/2021 Plan to PDU was prepared and signed by CAO on 26/08/2020.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The LG had the following Health Sector infrastructure projects were approved by Contracts committee before commencement of construction:</p> <ol style="list-style-type: none"> 1. Construction of OPD at Amuria Hospital Ref:AMUN/565/Wrks/19-20/00007. 2. Construction of Theatre at Amuria Hospital Ref:AMUN/565/Wrks/19-20/00018 were approved by Contracts committee under minute:CC/OCT/2019-2020/MIN/15 dated 30/10/2019 	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The CAO in a letter referenced CR/191/2 appointed District Engineer, Mr. Eonya Julius as Project Manager on 11/10/2019 for additional tasks involving other construction works.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>DLG Engineer's Progress and supervision reports of Health infrastructure project sites including;</p> <ol style="list-style-type: none"> 1. OPD Construction works at Amuria Hospital. 2. Completion of Theatre Construction at the District Hospital. all had MoH technical designs attached to Engineer's BoQs and certificates issued on 09/06/2020. 	1

13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0	There was a consolidated site report from DLG Engineer issued on 27/01/2020 for Two major health sector infrastructure Projects implemented during the FY 2019/2020.including; 1.Contruction of OPD at Amuria District Hospital, 2. Completion of Theatre construction works at Amuria Hospital.	1
	Maximum 10 points on this performance measure	If there is no project, provide the score		
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0	There was evidence of quarterly site meetings held in three quarters as follows: 1. Second quarter meeting with Engineer's monitoring report attached for OPD construction works was held on 05/10/2019, MIN: 05/10/19-20/16. 2. Third quarter meeting with Engineer's monitoring report attached for construction of Theatre at Amuria Hospital was held on 27/01/2020, MIN: 27/01/19-20/12.	0
	Maximum 10 points on this performance measure	If there is no project, provide the score		
13	Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines	h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0	The Engineer,Environment officer and CDO jointly conducted technical supervision during critical stages of construction of sector projects as follows; 1. Second quarter meeting minutes attached to Engineer's technical supervision report for OPD construction works was held on 5/10/2019, under MIN: 05/10/19-20/16. 2. Third quarter meeting minutes attached to Engineer's technical supervision report for Construction of Theatre was held on 27/01/2020, under MIN: 27/01/19-20/12.	0
	Maximum 10 points on this performance measure	If there is no project, provide the score		

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>The District Health department implemented two projects in FY 2019/2020 namely completion of the Theater at Amuria General Hospital and Repair of damaged District Store.</p> <p>M/s Todi Investment Ltd submitted payment request of Shs.130,783,088 on 28th/1/2020 for completion of the Theatre at Amuria General Hospital which was certified and recommended for payment by the DE and DHO on 17th and 18th/2/2020 respectively as evidenced on PV No.28393367 dated 30th/3/2020. This payment request was certified and recommended 21 days after thus beyond the recommended maximum of 2 weeks or 10 working days.</p> <p>The 2nd contractor, M/s Sure Friends Civil & Agro Input Consultants Ltd requested for payment of Shs.6,090,487 on 22nd/6/2020 for repair of damaged District Store which was certified and recommended for payment by the DE and DHO on 22nd/6/202. Within the specified timeframe of 2 weeks or 10 working days.</p>	0
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13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>The LG had the following Health Sector infrastructure projects approved and incorporated into the Procurement plan for FY 2019/2020.</p> <ol style="list-style-type: none"> 1. Supply of Medical Equipment to Amuria Hospital by Crown Health Care (U) Ltd File Ref: AMUN/565/SPLS/19-20/00002. 2. OPD Construction works in Amuria District Hospital by Bygon Enterprises Ltd. File Ref: AMUN/565/Wrks/19-20/00007. 3. Completion of a Theatre at Amuria District Hospital by TODI Investments Limited. File Ref: AMUN/565/Wrks/19-20/00018 all were approved by contracts committee under Minute: CC/OCT/2019-2020/MIN/15 dated 30/10/2019. 	1
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Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>There was no evidence that the LG had recorded, investigated, responded and reported in line with the LG grievance redress framework</p>	0
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>There was no evidence that LG disseminated guidelines on health care / medical waste management to health facilities</p>	0
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>There was evidence that the LG had functional infrastructures for managing Medical Waste but it's not used due to overheating therefore they do out burning of some selected waste.</p> <p>It was also evidenced that the LG had a Registered waste management service provider known as Green Label Service LTD</p>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was no evidence that the LG conducted training (s) and created awareness in healthcare waste management</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>There was no evidence that the LG had a costed ESMP was incorporated into designs, BoOs, bidding and contractual documents for health infrastructure projects of the previous FY.</p>	0

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>There was evidence that the LG had proof of ownership of land on which health sector projects are implemented as mentioned below;</p> <ol style="list-style-type: none"> 1. Block 1, Plot 86-87 at OKUTO1, AMURIA-049/082. VOLUME-HQT1247, FOLLO 11.Dated 12th June 2017. 2. Plot 16-52 ORUNGO ROAD, AMURIA TOWN COUNCIL, AMURIA DISTRICT Freeholder: AMURIA DISTRICT LOCAL GOVERNMENT (AMURIA HEALTH CENTER) Ref: AMURIA FHM 1/5A/6/2017.Dated 18th June 2019. 	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>There no evidence that the LG Environmental Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs.</p>	0
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0</p>	<p>There was evidence that the LG had Certification forms signed by the Environmental Officer and the CDO as mentioned below;</p> <p>From the Certification forms dated 17th June 2020, Construction of Out Patient Department in Amuria Hospital in Medical Cell, Alira Ward in Amuria T/C.</p> <p>From the Certification forms dated 10th June 2020, Construction of a theater at Amuria District Hospital I in Medical Cell, Alira Ward in Amuria T/C</p> <p>From the Certification forms dated 10th June 2020, Renovation of District Vaccination store in Medical Cell, Alira Ward in Amuria T/C.</p>	2

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional. If the district rural water source functionality as per the sector MIS is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	The district rural water source functionality as per the sector MIS was 93%. Asamuk S/C at 94%, Apeduru at 98%, Aberilela at 96%, Wera at 97%, Willa At 81%, Kuju at 87%, Ogolai at 91%, Orungo at 89%, Akeriau at 85% and Morungatuny at 100%. The average percentage = 93%	2
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is: o 90 - 100%: score 2 o 80-89%: score 1 o Below 80%: 0	The percentage of facilities with functional water & sanitation committees was 70% Asamuk S/C at 67%, Apeduru at 76%, Aberilela at 77%, Wera at 77%, Willa at 52%, Kuju at 60%, Ogolai at 66%, Orungo at 61%, Akeriau at 55% and Morungatuny at 87%. The average percentage = 70%	0
2	Service Delivery Performance: Average score in the water and environment LLGs performance assessment <i>Maximum 8 points on this performance measure</i>	a. The LG average score in the water and environment LLGs performance assessment for the current. FY. If LG average scores is a. Above 80% score 2 b. 60 -80%: 1 c. Below 60: 0 (Only applicable when LLG assessment starts)	Not applicable	0

Service Delivery
Performance: Average
score in the water and
environment LLGs
performance
assessment

*Maximum 8 points on
this performance
measure*

b. % of budgeted water projects
implemented in the sub-counties with safe
water coverage below the district average in
the previous FY.

o If 100 % of water projects are implemented
in the targeted S/Cs: Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

The LG did not implement any new water
project in the previous financial year.

The LG had planned to drill 8 boreholes
and one production well in the selected
district sub counties but was un able
because of the covid-19 pandemic
outbreak,the contract for the 8 borehole
drilling was signed on 29th/Jan/2020 ,the
commencement date was 30th/Jan/2020
and sitting was done on 5th/03/2020.

For production well, the contract agreement
was signed on 29th/Jan/2020 and site
handover done on 12th/02/2020. The LG
diverted part of the money that was meant
to be for the above two project towards
rehabilitation of 10 boreholes worth
39,930,340/= (not in the awp/budget) to
increase access to water by the community
due to covid-19 pandemic outbreak, the 10
borehole rehabilitations were distributed as
follows;

Asamuk S/C and TC (3Boreholes), Wera
S/C (1 borehole), Willa S/C (1 borehole),
Ogolai s/c (1 BH), Kuju/Abia S/C (1
borehole), Orungo S/C and TC (3
boreholes)

The sub counties that were below the
district average (83% access) include;
Abarilela at 82% access, Akeriau S/C at
60% access,Okungur at 71% access,
Orungo s/c at 65% access, Wera S/C at
74% access and Willa S/C at 73% access

2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p>	<p>c. If variations in the contract price of sampled WSS infrastructure investments for the previous FY are within +/- 20% of engineer's estimates</p> <p>o If within +/-20% score 2</p> <p>o If not score 0</p>	<p>The LG had two contracts as follows;</p> <p>Sitting,drilling,test pumping, casting of 8 deep Boreholes (procurement Ref No:AMUN/565/WRKS/19-20/00001-1)</p> <p>The second contract was the construction of the production well (Procurement Ref No: AMUN 565/WRKS/19-20/00002-1)</p> <p>The contract sum of the 8 planned boreholes was 148,726,584/= and the contract sum of the production well was 24,727,500/=. However none of these projects were implemented in the previous FY due to covid-19 pandemic outbreak and hence even though sitting and environmental screening was done, no money was paid to the contractor. All the amount that was meant for project implementation was taken back to the central Government after failure by the LG to utilize the money for the targeted projects</p>	0	
2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <p>o If 100% projects completed: score 2</p> <p>o If 80-99% projects completed: score 1</p> <p>o If projects completed are below 80%: 0</p>	<p>The LG planned for 8 boreholes and one production well in the previous financial year and implemented none.</p> <p>Percentage of WSS infrastructure projects completed as per annual work plan by end of FY = 0/9*100</p> <p>0%</p>	0	
3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>There was a decrease in the % of water supply facilities that were functional between the FY 2018/19 and 2019/20</p> <p>Percentage of water supply facilities that were functional in the FY 2018/19 = 94%</p> <p>Percentage of water supply facilities that were functional in the FY 2019/20 = 93%</p> <p>Percentage decrease = 1%</p>	0	
<p><i>Maximum 8 points on this performance measure</i></p>		<p><i>Maximum 8 points on this performance measure</i></p>		<p><i>Maximum 4 points on this performance measure</i></p>	

3	<p>Achievement of Standards: The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <ul style="list-style-type: none"> o If increase is more than 5%: score 2 o If increase is between 0-5%: score 1 o If there is no increase: score 0. 	<p>There was no increase in the % of water supply facilities with functional water and sanitation committee between the FY 2018/19 and 2019/20</p> <p>Percentage of water supply facilities with functional water and sanitation committee in the FY 2018/19 = 75%</p> <p>Percentage of water supply facilities with functional water and sanitation committee in the FY 2019/20 = 70%</p> <p>Percentage decrease = 5%</p>	0
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Performance Reporting and Performance Improvement

4	<p>Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3</p>	<p>There was no WSS facilities constructed in the previous financial year due to interruption of the programme by the covid-19 pandemic outbreak as explained by DWO at the time of assessment</p>	0
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>There was no quarterly reports presented by the DWO at the time of taking the assessment and therefore there was no evidence that the DWO compiles quarterly information on sub-county water supply and sanitation</p>	0
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5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>There was evidence of quarterly report submissions. Evidence avaiied indicated that the MIS was last updated in 2019.</p>	0
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5	Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance <i>Maximum 7 points on this performance measure</i>	c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LIG assessment to develop and implement performance improvement plans: Score 2 or else 0 <i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i>	Not applicable	0
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Human Resource Management and Development

6	Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff <i>Maximum 4 points on this performance measure</i>	a. Evidence that the DWO has budgeted for the following Water & Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation & hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance Technician: Score 2	The DLG had a substantive Civil Engineer (Water); But didn't budget for 2 Assistant Water Officers (1 for mobilization and 1 for sanitation and hygiene); 1 Engineering Assistant (Water) & 1 Borehole Maintenance	0
6	Budgeting for Water & Sanitation and Environment & Natural Resources: The Local Government has budgeted for staff <i>Maximum 4 points on this performance measure</i>	b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment & Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2	In FY 2019/20, The DLG had substantive Natural Resources Officer; an Environment Officer; and Forestry Officer. At the time of assessment, the position of Natural Resources Officer had been declared vacant. The positions of Environment Officer and Forestry Office were still substantively filled. Budgeted for wages 75,998,000 page 117 AWP.	2
7	Performance Management: The LG appraised staff and conducted trainings in line with the district training plans. <i>Maximum 6 points on this performance measure</i>	a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3	The DWO department was comprised of only one staff. The Civil Engineer (Water) Obaate Phillip no appraisal was on file.	0

7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>there was no evidence of submission of a staff capacity needs to the PHRO</p>	0
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Management, Monitoring and Supervision of Services.

8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<ul style="list-style-type: none"> • a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district: • • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3 • If 80-99%: Score 2 • If 60-79: Score 1 • If below 60 %: Score 0 	<p>The DWO allocated only 5 boreholes equivalent to 30% out of the 27 planned boreholes (13 Boreholes in LOT1 and +14 Boreholes in LOT 2).</p> <p>Three sub counties were below the district average; Akeriau at 58% access, Orungo at 63% access, Wera at 72% access, Willa at 70% access and out of the planned 27 boreholes , 3 boreholes were allocated to Orungo s/c , 2 Boreholes to Wera s/c and 3 boreholes to Akeriau s/c giving a total of 8 Boreholes equivalent to 30%</p>	0
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8	<p>Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3</p>	<p>There was no evidence that the DWO communicated to the LLGs their respective allocations per source.</p>	0
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9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)</p> <ul style="list-style-type: none"> • If more than 95% of the WSS facilities monitored quarterly: score 4 • If 80-99% of the WSS facilities monitored quarterly: score 2 • If less than 80% of the WSS facilities monitored quarterly: Score 0 	<p>The district water officer did not present the monitoring plans and reports for the previous financial year at the time of taking the assessment and therefore there was no evidence that the district Water Office monitored each of WSS facilities at least quarterly</p>	0
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9	Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support. <i>Maximum 8 points on this performance measure</i>	b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2	The DWO did not present the minutes of DWSCC meetings and DWO progress reports at the time of assessment and therefore there was no evidence that the DWO conducted quarterly DWSCC meetings	0
9	Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support. <i>Maximum 8 points on this performance measure</i>	c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2	The District Water Officer did not publicizes budget allocations for the current FY to LLGs. There was no budget allocations publicized on the notice board and on the web site	0
10	Mobilization for WSS is conducted <i>Maximum 6 points on this performance measure</i>	a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities: • If funds were allocated score 3 • If not score 0	The DWO allocated 20,000,000/= for community mobilization activities out of the NWR budget of 34,226,000/=. Percentage allocation = $(20,000,000)/(34,226,000)*100$ = 58%	3
10	Mobilization for WSS is conducted <i>Maximum 6 points on this performance measure</i>	b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.	The District Water Officer did not train WSCs on their roles on O&M of WSS facilities in the previous financial year as there was no evidence availed to the assessor at the time of assessment	0
Investment Management				
11	Planning and Budgeting for Investments is conducted effectively <i>Maximum 14 points on this performance measure</i>	a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG: Score 4 or else 0	The DWO presented an updated asset register with some water supply and sanitation facilities constructed (Rehabilitated and put back to functionality) in 2019 being included	4

11	<p>Planning and Budgeting for Investments is conducted effectively</p>	<p>b. Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p>	<p>No evidence of desk appraisal on sector prioritized projects was availed at the time of assessment</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>	<p>Score 4 or else score 0.</p>		
11	<p>Planning and Budgeting for Investments is conducted effectively</p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>There was no community application files for the for WSS investments for the current FY at the time of taking the assessment instead the DWO presented application files for other years</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			
11	<p>Planning and Budgeting for Investments is conducted effectively</p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The LG had not yet conduct a field appraisal for WSS projects for the current FY at the time of taking the assessment</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			
11	<p>Planning and Budgeting for Investments is conducted effectively</p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>The environmental screening for the current financial year projects was not yet conducted at time of taking the assessment</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p>There was evidence that the DWO incorporated the water infrastructure in the LG approved procurement plan approved on 7th/9/2020 by the MOFPED. The water infrastructures found in the procurement plan included;</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>Drilling of deep boreholes, rehabilitation and maintenance of water facilities, this was estimated at 229,699,686 (page 3 of the procurement plan.</p>	
			<p>The second project was the construction of pitlatrines estimated at 39,693,195</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>The contract had been awarded to PMP Holdings Ltd to drill a borehole at a cost of 24,727,590. Ref:Amun565/Wrks/19-20/00011.recorded under minute MIN CC/16/09/19/20/20/3 was duly approved by contracts committee on 16/09/2019.</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The District Water Officer did not properly establish the Project Implementation team only an appointment letter dated 30th/Jan/2020 for the water officer as a contract manager for the drilling of the production well was presented</p>	0
	<p><i>Maximum 14 points on this performance measure</i></p>			

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2

Three rehabilitee boreholes out of the 10 boreholes rehabilitated in the previous FY were visited and found functional as all the mechanical problems were rectified and the boreholes put back to functionality. The 3 boreholes include;

Maximum 14 points on this performance measure

1. Abia Borehole in Kuju s/cAbia HC II, DWD 41213 in Alalam village Agwara parish

Issues found out from inspection report by DWO

- Not working,covered in bush, last worked in 2017

Recommendation by DWO

- Major rehabilitation (blow,demolish and cast apron,testpump,replace GI with stainless steel installation materials)

2. Ojama Borehole in AbekoVillage,Abeko Parish in Ogoloi S/C

Issues found out from inspection report by DWO

- Not working,broken pedestal,worn out head pump and perforated piping system.

Recommendation by DWO

- Major rehabilitation (blow,demolish and cast apron,testpump,replace GI with stainless steel installation materials)

All the boreholes were found to be functional with functional WSCS, well fenced , well protected recharge catchment areas and good water quality and yield

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2

There was no contract management plan and minutes of site meetings availed to NAT at the time of taking the assessment and therefore there was no evidence that the DWO carry out monthly supervision of was infrastructure

Maximum 14 points on this performance measure

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts</p> <p>o If 100 % contracts paid on time: Score 2</p> <p>o If not score 0</p>	<p>There was no evidence of verification of works and initiation of payments of contractor for all the two sampled contracts;</p> <p>Drilling of 8 boreholes and construction of the production well.</p>	0
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12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:</p> <p>Score 2, If not score 0</p>	<p>There was a complete procurement file AMUN 565/WRKS/19-20/00001-1 with the following documents;</p> <p>Contracts adverts (new vision of Thursday 18th/04/2019</p> <p>Letter of acceptance dated 29th/Jan/2020</p> <p>Contract agreement dated 30th/Jan/2020 and a BOQ for several works</p>	2
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Environment and Social Requirements

13	<p>Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework</p> <p><i>Maximum 3 points this performance measure</i></p>	<p>Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:</p> <p>Score 3, If not score 0</p>	<p>There was no evidence that the LG DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework.</p>	0
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14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source & catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There was no evidence that the LG DWO and the Environment Officer had disseminated guidelines on water source & catchment protection and natural resource management to CDOs.</p>	0
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15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans & natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was no evidence provided by LG during assessment time that water source protection plans and natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented.</p>	0
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15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>There was proof of land ownership by the LG on the land on which projects were implemented on as mentioned below;</p> <ol style="list-style-type: none"> 1. Drilling of Acomai Borehole, the agreement between Mr. Enyagu Frances offering 30M * 30M part of his land to the community for borehole drilling. Witnessed by Opei Silver, ElcosileDeo, Nabawanda Ivan, OuleEnco signed and dated 20th February 2020 2. Drilling of GolokwaraBorehole ,MrOmoding Patrick offered 30M * 20M part of his land to the community for borehole drilling Witnessed OpolotiKokas, Nabawandalvan,Emodogo Gabriele signed at dated 11th February 2020. 3. Drilling of AchenRhod P/S. MrsAdawo Rose offered 30M * 30M of her land to the community for borehole drilling witnessed by; Opila Moses, Emokol Simon, Eletu Stephen, Opito Fred signed and dated 28th February 2020. 	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>There was no evidence that the LG had E&S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/ certificates at interim and final stages of projects.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>There was no evidence that the LG CDO and environment Officers undertook monitoring to ascertain compliance with ESMPs.</p>	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Local Government Service Delivery Results				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	N/A	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> • By more than 5% score 2 • Between 1% and 4% score 1 • If no increase score 0 	N/A	0
2	<p>Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> • Above 70%; score 4 • 60 – 69%; score 2 • Below 60%; score 0 <p>Maximum score 4</p>	N/A	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	N/A	0

3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	N/A	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0	N/A	0
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines Maximum score 6	d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY • If 100% score 2 • Between 80 – 99% score 1 • Below 80% score 0	N/A	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	a) Evidence that the LG has recruited LLG extension workers as per staffing structure • If 100% score 2 • If 75 – 99% score 1 • If below 75% score 0	N/A	0
4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards Maximum score 6	b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF • If 100% score 2 or else score 0	N/A	0

4	Achievement of standards: The LG has met staffing and micro-scale irrigation standards	b) Evidence that the installed micro-scale irrigation systems during last FY are functional • If 100% are functional score 2 or else score 0	N/A	0
	Maximum score 6			

Performance Reporting and Performance Improvement

5	Accuracy of reported information: The LG has reported accurate information	a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0	N/A	0
	Maximum score 4			

5	Accuracy of reported information: The LG has reported accurate information	b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0	N/A	0
	Maximum score 4			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0	N/A	0
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0	N/A	0
	Maximum score 6			

6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	N/A	0
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6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>d) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0</p>	N/A	0
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6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0</p>	N/A	0
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Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0</p>	N/A	0
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7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	N/A	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	N/A	0
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines Maximum score 6	c) Evidence that extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	N/A	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has: i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	N/A	0
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers Maximum score 4	a) Evidence that the District Production Coordinator has; Taken corrective actions: Score 1 or else 0	N/A	0

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	b) Evidence that: i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0	N/A	0
	Maximum score 4			

8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	ii Evidence that training activities were documented in the training database: Score 1 or else 0	N/A	0
	Maximum score 4			

Management, Monitoring and Supervision of Services.

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0	N/A	0
	Maximum score 10			

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0	N/A	0
	Maximum score 10			

9	Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.	c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0	N/A	0
	Maximum score 10			

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	N/A	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	N/A	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> • If more than 90% of the micro-irrigation equipment monitored: Score 2 • 70-89% monitored score 1 Less than 70% score 0 	N/A	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	N/A	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	N/A	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	N/A	0
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Maximum score 8

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	N/A	0
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Maximum score 4

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	N/A	0
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Maximum score 4

Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	N/A	0
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Maximum score 8

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	N/A	0
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Maximum score 8

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	N/A	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	N/A	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	N/A	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	N/A	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	N/A	0

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems was approved by the Contracts Committee: Score 1 or else 0</p>	N/A	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	N/A	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0</p>	N/A	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	N/A	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>h) Evidence that the LG has overseen the irrigation equipment supplier during:</p> <p>i. Testing the functionality of the installed equipment: Score 1 or else 0</p>	N/A	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	N/A	0
	Maximum score 18			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	N/A	0
	Maximum score 18			

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	N/A	0
	Maximum score 18			

Environment and Social Safeguards

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	N/A	0
	Maximum score 6			

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0
	Maximum score 6			

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework Maximum score 6	b) Micro-scale irrigation grievances have been: iv. Reported on in line with LG grievance redress framework score 1 or else 0	N/A	0

Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc. score 2 or else 0	N/A	0
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment. i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	N/A	0

15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	N/A	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	N/A	0
15	Safeguards in the delivery of investments Maximum score 6	iv. E&S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	N/A	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>Evidence that the LG has recruited or requested for secondment of staff for all critical positions in the District Production Office responsible for micro-scale irrigation</p> <p>Maximum score is 70</p>	<p>If the LG has recruited the Senior Agriculture Engineer score 70 or else 0.</p>	N/A	0
Environment and Social Requirements				
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p>Maximum score is 30</p>	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening, score 15 or else 0.</p>	N/A	0
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p>Maximum score is 30</p>	<p>b. Carried out Social Impact Assessments (ESIAs) where required, score 15 or else 0.</p>	N/A	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	If the LG has recruited: a. 1 Civil Engineer (Water), score 15 or else 0.	The position of Civil Engineer (Water), was substantively filled by Obaate Phillip who was appointed vide appointment letter dated 7th May 2007 referenced CR/156/1 DSC 21/5/2007	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The LG had not recruited an Assistant Water Officer for mobilization	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The LG had not recruited an Assistant Water Officer for mobilization	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	d. 1 Natural Resources Officer , score 15 or else 0.	The position of District Natural Resources Officer was substantively filled by Otim Charles who was appointed vide appointment letter dated 27th May 2008 referenced CR/156/1 DSC 71/2008(23). At the time of assessment the DNRO had Written a letter to PS MoPS dated 29th July 2020 unreferenced and received on 3rd August 2020, Requesting A Leave without pay for another six months (it was his second time consecutively to request for this kind of leave) since his contract with Ministry of Land Housing and Urban Development had been renew till January 2021 . In response the PS granted him the leave but requested the CAO through a letter dated 28th September 2020 referenced PMD96/111/01.Vol.8 to end his assignment on payroll and declare the post vacant. The CAO then through a letter dated 21st October 2020 referenced CR/ADLG/1164/4 declared the post vacant.	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	e. 1 Environment Officer, score 10 or else 0.	The position of Environment Officer, was substantively filled by Ekosile Deogratious who was appointed vide appointment letter dated 19th September 2019 referenced CR/156/1 Min 59/ADSC/2019/23	10

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	f. Forestry Officer, score 10 or else 0.	The position of Forestry Officer, was substantively filled by Ogwal Moses who was appointed vide appointment letter dated 9th May 2019 referenced CR/156/1 Min 30/ADSC/20919	10
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Environment and Social Requirements

2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	If the LG: a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.	The LG screened all water projects and signed by the environmental Officer and the CDO as mentioned below 1. From the screening form dated 23rd March 2020, the LG screened the project of the Drilling of Borehole in Golokwara village. 2. From the screening form dated 30th April 2020, the LG screened the project of the Drilling of Borehole in Achen Rhod P/S in Tukum Ayago village. 3. From the screening form dated 24th April 2020, the LG screened the project of the Drilling of Borehole in Acomai village.	10
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2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.	The mentioned projects were not meant to be subjected to ESIAs, they stopped at the screening level	10
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2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	c. Ensured that contractors got abstraction permits issued by DWRM, score 10 or else 0.	There was evidence that the contractors obtained a permit as evidenced DP31564/DW2018 PMP HOLDINGS LTD Issued by Eng Aaron Kabirizi, dated 27th June 2018.	10
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No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>a. District Health Officer, score 10 or else 0.</p>	<p>The LG had not substantively recruited a District Health Officer</p>	0
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>The LG had not substantively recruited Assistant District Health Officer Maternal, Child Health and Nursing,</p>	0
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>The LG had not substantively recruited Assistant District Health Officer Environmental Health</p>	0
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>d. Principal Health Inspector (Senior Environment Officer) , score 10 or else 0.</p>	<p>The LG had not substantively recruited a Principal Health Inspector</p>	0

1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>The position of a Senior Health Educator had been substantively filled by Akiror Stella appointed vide appointment letter dated 8th June 2009 referenced CR/60/2 DSC 95/2009</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>f. Biostatistician, score 10 or 0.</p>	<p>The position of a Biostatistician had been substantively filled by Eyattu Jude who was appointed through appointment letter dated 5th June 2012 referenced CR/156/2 DSC 174/2012 (C)</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>g. District Cold Chain Technician, score 10 or else 0.</p>	<p>The position of a District Cold Chain Technician had been substantively filled by Esemu David who was appointed through appointment letter dated 21st December 2007 referenced CDO/156/2, DSC 37/2007</p>	10
1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>h. If the MC has in place or formally requested for secondment of Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.</p>		
1	<p>Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.</p> <p><i>Applicable to MCs only.</i></p> <p><i>Maximum score is 70</i></p>	<p>i. If the MC has in place or formally requested for secondment of Principal Health Inspector, score 20 or else 0.</p>		

1 Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.

j. If the MC has in place or formally requested for secondment of Health Educator, score 20 or else 0.

Applicable to MCs only.

Maximum score is 70

Environment and Social Requirements

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

15

From the screening forms signed by the Environmental Officer and the CDO the LG carried out environmental, Social and Climate Change screening as below;

1. From the screening form dated 30th April 2020, the LG screened the project of the construction of Out Patient Department in Amuria Hospital in Medical Cell, Alira Ward in Amuria T/C

2. From the screening form dated 30th April 2020, the LG screened the project of the construction of a theater at Amuria District Hospital I in Medical Cell, Alira Ward in Amuria T/C

3. From the screening form dated 30th April 2020, the LG screened the project of the renovation of District Vaccination store in Medical Cell, Alira Ward in Amuria T/C.

Maximum score is 30

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

15

The above mentioned projects were not meant to be subjected to Social Impact Assessments

Maximum score is 30

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	<p>Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely:</p> <p><i>The maximum score is 70</i></p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>a) District Education Officer/ Principal Education Officer, score 30 or else 0.</p>	<p>The Position of District Education Officer had been substantively filled by AcomKelem appointed vide appointment letter dated 27th May 2008 reference No. CR/156/2 DSC Min 71/2008(24)</p>	30
1	<p>Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely:</p> <p><i>The maximum score is 70</i></p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>b) All District/Municipal Inspector of Schools, score 40 or else 0.</p>	<p>According to the approved structure DLG should have two District Inspectors of Schools (an senior inspector and an inspector)</p> <p>The DLG had two Inspectors of Schools as below;</p> <p>Okilla Patrick(senior inspector of schools) appointed vide appointment letter dated 19th September 2019 referenced CR/ADLG/163/3</p> <p>DSC 59/ADSC/2019/34</p> <p>Erumu Simon Peter appointed vide appointment letter dated 9th May 2019 referenced CR/ADLG/163/3</p> <p>DSC 38/ADSC/2019</p>	40

Environment and Social Requirements

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

There was evidence that the LG carried out environmental, Social and Climate Change screening as mentioned below

1. From the screening form dated 30th March 2020, the LG screened the project of construction of two classroom blocks and store in Oriebai in Oriebai village, Oriebai Parish in Orungo S/C.

Clearing and leveling of the site,

Proper labeling of the sign posts.

To remove debris from the site

Sensitization of the workers on HIV/AIDs

Planting of the roved trees and vegetation

2. From the screening form dated 2nd February 2020, the LG screened the project of construction of 5 stance pit latrines at Amuch P/S in Amuch village, Amuch Parish in Apeduru S/C

To remove debris from the site

Sensitization of the workers on HIV/AIDs

Sensitization of the workers on HIV/AIDs

3. From the screening form dated 30th March 2020, the LG screened the project of construction of two classroom blocks with an Office in Aojokitoi P/S in Aojokitoi village, Amusus Parish in Kuju S/C.

Clearing and leveling of the site,

Proper labeling of the sign posts.

To remove debris from the site

Sensitization of the workers on HIV/AIDs

Planting of the roved trees and vegetation

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

The Maximum score is 30

If the LG carried out:

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The above mentioned projects were not meant to be subjected to Social Impact Assessments

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human Resource Management and Development				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The position of CFO was vacant	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The position of District Planner was substantively filled by Akelem Emmanuel who was appointed vide appointment letter dated 27th May 2008 referenced CR/156/1 DSC 71/2008(21)	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The position of DE was vacant.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	In FY 2019/20, the position of District Natural Resources Officer was substantively filled by Otim Charles who was appointed vide appointment letter dated 27th May 2008 referenced CR/156/1 DSC 71/2008(23). At the time of assessment the DNRO had Written a letter to PS MoPS dated 29th July 2020 unreferenced and received on 3rd August 2020, requesting a Leave without pay for another six months(it was his second time consecutively to request for this kind of leave) since his contract with Ministry of Land Housing and Urban Development had been renewed till January 2021. In response the PS granted him the leave but requested the CAO through a letter dated 28th September 2020 referenced PMD96/111/01.Vol.8 to end his assignment on payroll and declare the post vacant. The CAO then through a letter dated 21st October 2020 referenced CR/ADLG/1164/4 declared the post vacant.	3

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The position of District Production Officer was substantively filled by Cheli Peter who was appointed vide appointment letter dated 19th September 2019 referenced CR/ADLG/156/2 Minute No. 59/ADSC/2019/30	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/ Principal CDO, score 3 or else 0	The position of District Community Development Officer was vacant	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The position of District Commercial Officer was vacant	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	other critical staff h (i). A Senior Procurement Officer (Municipal: Procurement Officer) score 2 or else 0.	The position of a Senior Procurement Officer was substantively filled by Akol Anne who was appointed vide appointment letter dated 26th June 2020 referenced CR/ADLG/156/2 DSC 5/ADSC/JUNE//2020 (VIII)	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	h(ii). Procurement Officer (Municipal Assistant Procurement Officer), score 2 or else 0	The position of a Procurement Officer was substantively filled by Igelat Raphael who was appointed vide appointment letter dated 1st June 2018 referenced CR/159/1 DSC/AMUR/05/05/2018(1)(g)	2

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	The position of a Principal Human Resource Officer was substantively filled by Ejupa Martin Opaga who was appointed vide appointment letter dated 24th July 2018 referenced CR/159/1 DSC/AMUR/04/06/2018 a 1 (ii)	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The position of a Senior Environment Officer was substantively filled by Ekosile Deogratious who was appointed vide appointment letter dated 19th Sept 2019 referenced CR/ADLG/156/2 Min NO. 59/ADSC/2019/23	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer, score 2 or else 0	The position of a Senior Land Management Officer was substantively filled by Acanit Etoru who was appointed vide appointment letter dated 10th Sept 2009 referenced CR/156/2 Min NO. 116/2009	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The position of a Senior Accountant was substantively filled by Alungat Petua who was appointed vide appointment letter dated 19th Sept 2019 referenced CR/ADLG/156/2 Min NO. 59/ADSC/2019/1(ii)	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor for Districts and Senior Internal Auditor for MCs, score 2 or else 0	The position of Principal Internal Auditor was substantively filled by Osuku Julius who was appointed vide appointment letter dated 24th July 2018 referenced CR/159/1 Min NO. DSC/AMUR/04/06/2018 a (10) (i)	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	The position of Principal Human Resource Officer (Secretary DSC) or was substantively filled by Onanyang Martha who was appointed vide appointment letter dated 24th July 2018 referenced CR/159/1 Min NO. DSC/AMUR/04/06/2018 a (1) (i)	2

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG	If LG has recruited or requested for secondment of:	The DLG had 10 sub-counties and one Town council namely ; Kuju, Akariau ,Asamuk, Wera,Ogolai, Morungatuny, Apeduru,wila,Abarilela,Orungo and Amuria T/c
Maximum score is 15	a. Senior Assistant Secretaries in all LLGS,	10 out of 11 positions were substantively filled
score 5 or else 0		<p>The substantively appointed SAS were as follows;</p> <ol style="list-style-type: none"> 1. Opio Joseph appointed vide appointment letter dated 27th May 2008 referenced CR/156/2 DSC Min No. 71/2008 (13) posted to Kuju sub county 2. Asekenye Martha appointed vide appointment letter dated 24th July 2018 referenced CR/159/1 DSC Min No. DSC/AMUR/04/06/2018 a (2) (i) posted to akariau Sub county 3. AtiangWinnfred appointed vide appointment letter dated 24th July 2018 referenced CR/159/1 DSC Min No. DSC/AMUR/04/06/2018 a (2) (ix) posted Asamuk sub county 4. AselloOkello Jessica appointed vide appointment letter dated 27th May 2008 referenced CR/156/2 DSC Min No. 71/2008(13) posted to wera sub county 5. Akurut Miriam appointed vide appointment letter dated 24th July 2018 referenced CR/159/1 DSC Min No. DSC/AMUR/04/06/2018 a (2) (iii) posted to ogolaisub county. 6. Akello Stella appointed vide appointment letter dated 24th July 2018 referenced CR/159/1 DSC Min No. DSC/AMUR/04/06/2018 a (2) (v) posted t Morungatuny sub county 7. Eumu David Micheal appointed vide appointment letter dated 24th July 2018 referenced CR/159/1 DSC Min No. DSC/AMUR/04/06/2018 a (2) (iv) posted to Apeduru sub county 8. AkidingOchan Silver appointed vide appointment letter dated 1st September 2005 referenced CR/156/1 DSC Min No. 155(a)posted to 9. Abuto Sara appointed vide appointment letter dated 27th May 2008 referenced CR/159/1 DSC Min No. 71/2008 (13) posted to Willa sub county 10. OnyaitOchan Silver appointed vide appointment letter dated 19th Sept 2019 referenced CR/159/1 DSC Min No. 59/ADSC/2019/29 (a) posted to Amuria town council <p>The Vacant position was occupied by Ayumo Susan appointed vide appointment letter dated 4th July 2018 referenced CR/159/1 DSC Min No. DSC/AMUR/04/06/2018</p> <p>a (2) (ii) who resigned on 4th July 2019 reference CR/ADLG/163/3</p>

or formally requested for secondment of staff for all essential positions in every LLG

Maximum score is 15

or requested for secondment of:

b. A Community Development Officer or Senior CDO in case of Town Councils, in all LLGS

score 5 or else 0.

filled as below.

1. AmugeEverlyn appointed vide appointment letter dated 21st March 2018 ref CR/156/2

DSC /AMUR/04/02/2018(1C) stationed at Apeduru Sub county

OlunguraAngalo Agnes appointed vide appointment letter dated 24th July 2018 ref CR/156/2 DSC

/AMUR/04/06/2018 a (17)(ii) stationed at Orungo Sub county

2. Akol Felix appointed vide appointment letter dated 24th July 2018

ref CR/156/2,DSC /AMUR/04/06/2018 a (17) (i) stationed at Abarilea Sub county

3. Michael Edmond appointed vide appointment letter dated 1st November 2016 ref CR/156/2

DSC /AMUR/07/2016(2) stationed at Amuria T/c

4. Amuge Rose Elizabeth appointed vide appointment letter dated 26th June 2020 ref CR/ADLG/156/2

DSC 5/ADSC/JUNE/2020(i) stationed at Ogolai Sub county

5. Epechu Martin appointed vide appointment letter dated 26th June 2020 ref CR/ADLG/156/2

DSC 5/ADSC/JUNE/2020(ii) stationed at Willa Sub county

6. Akol Jane Frances appointed vide appointment letter dated 24th July 2018 ref CR/156/2

DSC /AMUR/04/06/2018 a (17)(IV) stationed at Kuju Sub county

7. OkanyaBenard appointed vide appointment letter dated 24th July 2018 ref CR/156/1

DSC /AMUR/04/06/2018 a (17)(IV) stationed at Morungatuny Sub county

8. Okiror William appointed vide appointment letter dated 29th July 2010 ref CR/156/2

DSC 137/2010 stationed at Wera Sub county

9. Oonyu Gilbert appointed vide appointment letter dated 29th July 2010 ref CR/156/2

DSC 137/2010(IX) stationed at wera Sub county

10. Oonyu Jude appointed vide appointment letter dated 24th July 2018 ref CR/156/2

DSC /AMUR/04/06/2018 a (17)(V) stationed at Apeduru Sub county

11. OkiroWillam appointed vide appointment letter dated 29th July 2020 ref CR/156/2

DSC 137/2010 stationed at Asamuk Sub county

Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG	If LG has recruited or requested for secondment of: c. A Senior Accounts Assistant or an Accounts Assistant in all LLGS, score 5 or else 0.	<p>10 out of 11 A Senior Accounts Assistant of LLGs had been filled namely;</p> <ol style="list-style-type: none"> 1. Apiding Doreen appointed vide appointment letter dated 19th September 2019 ref CR/ADLG/163/3 DSC MIN No. 59/ADSC/2019/35 posted to Amuria T/c 2. Amoding Rose appointed vide appointment letter dated 19th September 2019 ref CR/ADLG/163/3 DSC MIN No. 59/ADSC/2019/35 (h) posted to Kuju sub county 3. Byansi Ben appointed vide appointment letter dated 19th September 2019 ref CR/ADLG/163/3 DSC MIN No. 59/ADSC/2019/35 (f) posted Willa 4. AdongEverlyn appointed vide appointment letter dated 19th September 2019 ref CR/ADLG/163/3 DSC MIN No. 59/ADSC/2019/35 (i) posted to District headquarters. 5. IllukorOkwir Moses appointed vide appointment letter dated 19th September 2019 ref CR/ADLG/163/3 DSC MIN No. 59/ADSC/2019/35 (b) posted to Asamuk sub county 6. Yachesikor Rose Mary appointed vide appointment letter dated 19th September 2019 ref CR/ADLG/163/3 DSC MIN No. 59/ADSC/2019/35 (e) posted Abarilla Sub county 7. Obirai Gilbert appointed vide appointment letter dated 26th June 2020 ref CR/ADLG/156/2 DSC MIN No. 5/ADSC/JUNE/2020 (XV) attached to LG finance 8. Esiru Kenneth appointed vide appointment letter dated 19th September 2019 ref CR/ADLG/163/3 DSC MIN No. 59/ADSC/2019/35 attached to finance 9. AkelloSheilla Rebecca appointed vide appointment letter dated 19th September 2019 ref CR/ADLG/163/3 DSC MIN No. 59/ADSC/2019/35 (g) posted t general hospital 10. Ochom Robert appointed vide appointment letter dated 19th September 2019 ref CR/ADLG/163/3 DSC MIN No. 59/ADSC/2019/35 (d) Wera sub county lacked a an Accounts assistant.
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3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to: a. Natural Resources department, score 2 or else 0	The LG budget for Natural Resources department for FY 2019/2020 was Shs. 174,360,000 as on page 16 of the draft AFS . The actual released of Shs. 140,921,583 as on page16 of draft AFS was in agreement with the approved warrants representing 100%	2
3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.	If the LG has released 100% of funds allocated in the previous FY to: b. Community Based Services department. score 2 or else 0.	The LG budget for Community Based Services department for FY 2019/2020 was Shs. 850,842,000 as on page 16 of the draft AFS . The actual released of Shs. 314,322,763 as on page 16 of draft AFS was in agreement with the approved warrants representing 100%	2
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0	Evidenced From the screening forms signed by Environmental Officer and CDO the LG carried out screening of environmental, social and climate as per sampled projects below; 1. From the screening form dated 28th May 2020, the LG screened the project of the Drilling of Borehole in Golokwara in Golokwara village, Apeduru Parish in Apeduru S/C. 2. From the screening form dated 30th March 2020, the LG screened the project of construction of two classroom blocks and store in Oriebai in Oriebai village, Oriebai Parish in Orungo S/C 3. From the screening form dated 30th April 2020, the LG screened the project of the construction of Out Patient Department in Amuria Hospital in Medical Cell, Alira Ward in Amuria T/C	4
Maximum score is 12				

4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),	All projects implemented with Discretionary Development Equalization Grant were not subject to Environment and Social Impact Assessments.	4
Maximum score is 12		score 4 or 0		
4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;	<p>There was evidence that the LG had Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant as mentioned below</p> <ol style="list-style-type: none"> 1. Drilling of Borehole in Golokwara in Golokwara village, Apeduru Parish in Apeduru S/C. Clearing of the site at 100,000/=, drilling of borehole at 200,000/=, Gender involvement of women at 200,000/=,procuring of condoms at site 200,000/=dated 23rd March 2020 2. Construction of two classroom blocks and store in Oriebai in Oriebai village, Oriebai Parish in Orungo S/C. clearing of the site for construction at 200,000/=, digging of the foundation at 200,000/=, construction of a sub structure at 200,000/=, roofing of the classroom at 500,000/=, involving of women at 100,000/=, Awareness of STDs at 200,000/=. Dated 25th March 2020. 3. Construction of Out Patient Department in Amuria Hospital in Medical Cell, Alira Ward in Amuria T/C. clearing of the site, loss of vegetation at 100,000/=, construction of a sub structure at 500,000/=, roofing at 400,000/=. Dated 26th March 2020. 	4
Maximum score is 12		score 4 or 0		

Financial management and reporting

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.	If a LG has a clean audit opinion, score 10;	Awaits Auditor General's Opinion in January 2021.	0
	Maximum score is 10	If a LG has a qualified audit opinion, score 5		
		If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0		
6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),	IAG's letter to CAO dated 14th/11/2019 Ref. IIA50/260/01 pinpointed 18 (eighteen) queries raised in the 4 QIARs for FY 2018/2019. CAO submitted responses to PS/ST on all the 18 queries on 11th/12/2019 within the stipulated time frame of not later than 28th/2/2020 as prescribed in PFMA 2015 section 11 2g. The nature of queries cleared included: 1. Lack of land titles for Morungatuny Seed Secondary School. 2. Unaccounted for Funds of Shs. 10,800,000 in Morungatuny Seed Secondary School. 3. Non-maintenance of books of accounts. In addition, 17 (seventeen) queries were raised by the Auditor General in the Annual Audit of FY 2018/2019. CAO submitted responses to PS/ST on all of them on 18th/11/2019 within the recommended time frame of not later than 28th February 2020. The nature of queries raised and cleared included: 1. Financial Statements Issues. 2. Budget Performance/Implementation 3. Implementation of Uganda Inter Governmental Fiscal Transfers.	10
	maximum score is 10	score 10 or else 0.		
7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY	If the LG has submitted an annual performance contract by August 31st of the current FY,	The LG submitted an Annual Performance Contract to MoFPED on 5th/6/2020 at 7.52 am through PBS to www.budget.go.ug	4
	Maximum Score 4	score 4 or else 0.		

8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>The LG submitted the Annual Performance Report for the previous FY to MoFPED on 28th/9/2020</p>	0
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>All the 4 (four) QBPRs for FY 2019/2020 were submitted to MoFPED as follows:</p> <p>Q1 was submitted on 27th/12/2019.</p> <p>Q2 was submitted on 24th/01/2020.</p> <p>Q3 was submitted on 30th/4/2020.</p> <p>Q4 was submitted on 2nd/9/2020.</p>	0